



Orange County Mosquito and Vector Control District

Serving Orange County Since 1947

PURSUANT TO GOVERNOR GAVIN NEWSOM'S EXECUTIVE
ORDER N-29-20 THIS MEETING WILL BE HELD AS A
TELECONFERENCE MEETING

BOARD OF TRUSTEES MEETING
THURSDAY JANUARY 21, 2021
3:00 P.M.

Observers may view the meeting on Zoom at:
<https://us02web.zoom.us/j/88484912379>

Or Telephone:

Dial: 888 475 4499 (Toll Free), 877 853 5257 (Toll Free) or 213 338 8477
Webinar ID: 884 8491 2379

Comments may be submitted via email to agenda-comments@ocvector.org up
to one hour prior to beginning of the meeting.

If you want to make a comment during the meeting, please hit *9 to raise your
hand and be recognized by the moderator.



Orange County Mosquito and Vector Control District

Serving Orange County Since 1947

**NOTICE AND AGENDA
OF THE REGULAR MEETING OF THE BOARD OF TRUSTEES
THURSDAY JANUARY 21, 2021
887th REGULAR MEETING 3:00 P.M.
13001 GARDEN GROVE BLVD.
GARDEN GROVE, CA 92843
WEBSITE ADDRESS: www.ocvector.org
REGULAR MEETING 3:00 P.M.**

A. PLEDGE OF ALLEGIANCE, ROLL CALL, AND LATE COMMUNICATIONS

1. Call business meeting to order 3:00 p.m.
2. Pledge of Allegiance
3. Roll Call - (If absences occur, consider whether to deem those absences excused based on facts presented for the absence — such determination shall be the permission required by law.)

PRESIDENT:	Michael Alvarez	Orange
VICE-PRESIDENT:	Mike Posey	Huntington Beach
SECRETARY:	James Gomez	La Habra

Aliso Viejo	Richard Hurt	Lake Forest	Vladimir Anderson
Anaheim	Lucille Kring	Los Alamitos	Tanya Doby
Brea	Cecilia Hupp	Mission Viejo	Bob Ruesch
Buena Park	Susan Sonne	Newport Beach	Joy Brenner
Costa Mesa	Sandra Genis	Orange	Michael Alvarez
Cypress	Jon Peat	Placentia	Craig Green
Dana Point	Richard Viczorek	Rancho Santa Margarita	April Josephson
Fountain Valley	Cheryl Brothers	San Clemente	Jim Dahl
Fullerton	VACANT	San Juan Capistrano	John Taylor
Garden Grove	Stephanie Klopfenstein	Santa Ana	Cecilia Aguinaga
Huntington Beach	Mike Posey	Seal Beach	Sandra Massa-Lavitt
Irvine	Farrah Khan	Stanton	Gary Taylor
La Habra	James Gomez	Tustin	Rebecca Gomez
La Palma	Nitesh Patel	Villa Park	Crystal Miles
Laguna Beach	Michael Morris	Westminster	Chi Charlie Nguyen
Laguna Hills	Erica Pezold	Yorba Linda	Peggy Huang
Laguna Niguel	John Mark Jennings	County of Orange	Lala Ragen
Laguna Woods	Shari Horne		

4. Election of Officers of 2021 Executive Board: The officers of the Board are the President, Vice President, and Secretary. Board officers are elected annually at the January meeting. Nominations are to be made from the floor. In accordance with Rosenberg's Rules of Order, nominations are closed upon a 2/3 vote.
5. Late/Other Communications

B. PUBLIC COMMENTS

(Individual Public Comments may be limited to a 3-minute or less time limit)

During Public Comments, the public may address the Board on any issue within the District's jurisdiction which is not on the agenda. The public may comment on any item on the Agenda at the time that item is before the Board for consideration. Any person wishing to speak must come up and speak from the lectern. There will be no dialog between the Board and the commenter. Any clarifying questions from the Board must go through the Board President.

C. PRESENTATIONS

1. Recognize Outgoing President
2. Recognize Outgoing Trustees
3. Staff from the California Special Districts Association will give a presentation entitled "CSDA Update"

D. OCMVCD COMMITTEE REPORTS TO THE BOARD OF TRUSTEES

E. CONSENT CALENDAR

All matters listed under the CONSENT CALENDAR are considered by the District to be routine and will be enacted by one motion. Any member of the Board may pull an item from the Consent Calendar for additional clarification or action.

1. Approve the DRAFT Minutes for the regular meeting of December 17, 2020
2. Approve the Warrant Register for November 2020 (Exhibit A)
3. Approve the Monthly Financial Report for November 2020 (Exhibit A)
4. Adopt Resolution No. 506 Authorizing the Deployment for Vector Personnel and Resources for Natural Disaster Relief Works (Exhibit A)

F. BUSINESS AND ACTION ITEMS

1. Adopt Resolution No. 507 Amending the District's District Owned Vehicle and Equipment Replacement Policy (Exhibit A, B, C)

G. INFORMATIONAL ITEMS ONLY (NO ACTION NECESSARY)

1. Staff Presentation: Staff will give an update on vector activity in Orange County
2. Staff Presentation: Staff will give an update on outreach activity in Orange County
3. Trustee Terms of Office to Expire on January 4, 2021 at 11:59 A.M.
4. Board of Trustee Committee Assignments for 2021 (Exhibit A, B)
5. 2020 Trustee Attendance Report (Exhibit A)
6. Receive and File Laboratory Reports – Included in agenda packet

H. PRESIDENT'S REPORT AND TRUSTEE COMMENTS

I. DISTRICT MANAGER'S REPORT – Discussion and Possible Action

J. DISTRICT LEGAL COUNSEL REPORT – Discussion and Possible Action

K. CORRESPONDENCE – Discussion and Possible Action

L. FUTURE AGENDA ITEMS

M. ADJOURNMENT

1. Adjourn to the next regular meeting on February 18, 2021 starting at 3:00 p.m. at the Orange County Mosquito and Vector Control District offices, 13001 Garden Grove Blvd., Garden Grove, CA 92843



ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT

January 21, 2021

AGENDA REPORT

AGENDA ITEM: C.1

Prepared By: Tawnia Pett, Executive Assistant/Clerk of the Board
Submitted By: Rick Howard, District Manager

Agenda Title:

Recognition of Outgoing President

Recommended Action:

Recognize outgoing President

Executive Summary:

Incoming President will virtually present a plaque to outgoing President Alvarez

Fiscal Impact:

Amount Requested \$ N/A

Sufficient Budgeted Funds Available:

Category: Pers. Optg. Cap. -or- CIP# Fund#

Previous Relevant Board Actions for This Item: N/A

Exhibits:



ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT

January 21, 2021

AGENDA REPORT

AGENDA ITEM: C.2

Prepared By: Tawnia Pett, Executive Assistant/Clerk of the Board
Submitted By: Rick Howard, District Manager

Agenda Title:

Recognition of Outgoing Trustees

Recommended Action:

Recognize Outgoing Trustees

Executive Summary:

Incoming President will virtually present a plaque to Trustees Davis, Cooper, and Contreras.

Fiscal Impact:

Amount Requested \$ N/A

Sufficient Budgeted Funds Available:

Category: Pers. Optg. Cap. -or- CIP# Fund#

Previous Relevant Board Actions for This Item: N/A

Exhibits:

MINUTES OF THE 886th MEETING

**BOARD OF TRUSTEES
Orange County Mosquito and Vector Control District**

TIME: 3:00 P.M. December 17, 2020

PLACE: 13001 Garden Grove Blvd., Garden Grove, CA 92843
MEETING TOOK PLACE BY TELECONFERENCE

PRESIDENT:	Michael Alvarez	Orange
VICE-PRESIDENT:	Mike Posey	Huntington Beach
SECRETARY:	James Gomez	La Habra

TRUSTEES PRESENT:

Aliso Viejo	Ross Chun	Laguna Woods	Shari Horne
Anaheim	Lucille Kring	Lake Forest	Vladimir Anderson
Brea	Cecilia Hupp	Los Alamitos	Tanya Doby
Buena Park	Michael Davis	Mission Viejo	Bob Ruesch
Costa Mesa	Sandra Genis	Newport Beach	Jeff Herdman
Cypress	Jon Peat	Orange	Michael Alvarez
Dana Point	Rick Viczorek	Placentia	Craig Green
Fountain Valley	Cheryl Brothers	Rancho Santa Margarita	April Josephson
Fullerton	Jennifer Fitzgerald	San Clemente	Jim Dahl
Garden Grove	Stephanie Klopfenstein	San Juan Capistrano	John Taylor
Huntington Beach	Mike Posey	Santa Ana	Cecilia Aguinaga
Irvine	Farrah Khan	Seal Beach	Sandra Massa- Lavitt
La Habra	James Gomez	Stanton	Gary Taylor
La Palma	Nitesh Patel	Villa Park	Crystal Miles
Laguna Beach	Michael Morris	Westminster	Sergio Contreras
Laguna Hills	Erica Pezold	Yorba Linda	Peggy Huang
Laguna Niguel	John Mark Jennings	County of Orange	Lala Ragen

TRUSTEES ABSENT:

Tustin Barry Cooper

OTHERS PRESENT:

Rick Howard, District Manager
Lora Young, Director of Communications
Robert Cummings, Director of Scientific Technical Services
Steve Shepherd, Director of Operations
Tawnia Pett, Executive Assistant/Clerk of the Board
Alan Burns, District Counsel

A. Opening:

1. **Call the Business Meeting to Order:** President Alvarez called the meeting to order at 3:02 P.M.
2. **Pledge of Allegiance:** President Alvarez asked Trustee Dahl to lead the Pledge of Allegiance.
3. **Roll Call:** Roll call indicated 34 Trustees were present out of the current Board membership of 35.
4. **Late/Other Communications: None**
Late Communications:

Other Communications:**B. Public Comments: None****C. Presentations:**

1. Recognized Outgoing Trustees: President Alvarez virtually presented Trustees Chun, Fitzgerald, Jennings, and Herdman with a plaque recognizing their years of service.
2. Staff gave a presentation entitled "2020 District Accomplishments: Providing Service During a Pandemic"
3. Staff gave a presentation entitled "Wrapping Up 2020"

D. OCMVCD Committee Reports to the Board of Trustees:

1. Budget and Finance Committee: Committee Chair Posey reported the committee reviewed the amendments modernizing the language to the Investment Policy No. 38. There will be an item on the January agenda regarding the A1 Super Duty.
2. Executive Committee Special Meeting: MET DECEMBER 15: District Manager Howard reported the committee met to discuss the COVID-19 Governor's Orders in regards to District activity and operations.

E. Consent Calendar: Items for Approval by General Consent

On motion from Secretary Gomez, seconded by Trustee Aguinaga, and passed by unanimous vote, the Board of Trustees approved Consent Calendar Items E.2 through E.3. Agenda Item E.1 was passed by majority vote due to absences at the previous Board Meeting (Abstained: Trustees Pezold, Herdman, Massa-Lavitt).

Ayes: Trustees Chun, Kring, Hupp, Davis, Genis, Peat, Viczorek, Brothers, Fitzgerald, Klopfenstein, Posey, Khan, Gomez, Patel, Morris, Pezold, Jennings, Horne, Anderson, Doby, Ruesch, Herdman, Alvarez, Josephson, Dahl, J. Taylor, Aguinaga, Massa-Lavitt, G. Taylor, Miles, Contreras, Huang, and Ragen.

Noes: None.

Abstained: None.

Absent: Trustees Green and Cooper.

1. **Approval of Minutes:** Approved, without reading, the Minutes of the 885th Meeting of the Board of Trustees held November 19, 2020.
2. **Approved Warrant Register for October 2020: (Exhibit A)** Received and filed.
3. **Approved Monthly Financial Report for October 2020: (Exhibit A)** Received and filed.

F. Business and Action Items:

1. **Adopted Resolution No. 505 Amending Investment Policy No. 38 Pertaining to Investment of Liquid Assets of the Orange County Mosquito and Vector Control District: (Exhibit A, B, C, D)** On motion from Trustee Aguinaga, seconded by Trustee Kring, and approved by unanimous vote, the Board of Trustees adopted Resolution No. 505 Amending Investment Policy No. 38 Pertaining to Investment of Liquid Assets of the Orange County Mosquito and Vector Control District.

Ayes: Trustees Chun, Kring, Hupp, Davis, Genis, Peat, Viczorek, Brothers, Fitzgerald, Klopfenstein, Posey, Khan, Patel, Morris, Pezold, Jennings, Horne, Anderson, Doby, Ruesch, Herdman, Alvarez, Josephson, Dahl, J. Taylor, Aguinaga, Massa-Lavitt, G. Taylor, Miles, Contreras, Huang, and Ragen.

Noes: None.

Abstained: None.

Absent: Trustees Gomez, Green, and Cooper.

2. **Approved Fourth Amended Employee Agreement Between the Orange County Mosquito and Vector Control District and its District Manager Richard Howard (Exhibit A, B):** In accordance with Government Code Section 54953 (c)(3), District Counsel Burns gave an oral report on the proposed District Manager contract: A) For the period of March 1, 2019 to February 29, 2020: 1) The District Manager's annual salary be adjusted 3% from \$173,040 to \$178,230; 2) The Manager shall receive a one-time non-CalPERS performance incentive sum in the amount of \$4,810, effective March 1, 2020; B) For the period March 1, 2020 to February 28, 2021: 1) That the District Manager's annual salary be adjusted 2% from \$178,230 to \$181,800; C) For the period March 1, 2021 until Mr. Howard's Retirement Date (TBD) 1) The District Manager has agreed to forego any future salary adjustments or bonus' effective March 1, 2021. In exchange, the District agrees to continue to provide medical insurance post retirement at the 2021 monthly rate until Mr. Howard reaches the age of 65. On motion from Vice President Posey, seconded by Trustee Aguinaga, and approved by unanimous vote, the Board of Trustees approved the fourth amended employment agreement between the Orange County Mosquito and Vector Control District and its District Manager Richard Howard and authorized Board President Alvarez to execute the amended contract.

Ayes: Trustees Chun, Kring, Hupp, Davis, Genis, Peat, Viczorek, Brothers, Fitzgerald, Klopfenstein, Posey, Khan, Patel, Morris, Pezold, Jennings, Horne, Anderson, Doby, Ruesch, Herdman, Alvarez, Josephson, Dahl, J. Taylor, Aguinaga, Massa-Lavitt, G. Taylor, Miles, Contreras, Huang, and Ragen.

Noes: None.

Abstained: None.

Absent: Trustees Gomez, Green, and Cooper.

G. Informational Items Only (NO ACTION NECESSARY):

1. **Staff Presentation:** Director of Scientific Services Cummings gave an update of vector activity in Orange County.
2. **Staff Presentation:** Director of Communications Young had no report.
3. **Trustee Terms of Office to Expire on January 4, 2021 at 11:59 A.M.:** Received and filed.
4. **Recognized District Employee Years of Service:** Received and filed.

5. **Laboratory Reports- included in agenda packet:** Received and filed.

H. **President's Report and Trustee Comments: None**

1. Trustee Brothers asked if the District COVID-19 PSA could be sent to cities for use.

I. **District Manager's Report:** District Manager Howard reported:

1. Amber Semrow was named as the new Director of Scientific and Technical Services.
2. Showed a retirement video for Robert Cummings, outgoing Director of Scientific and Technical Services.
3. The MVCAC Annual Conference will be virtual in 2021, February 1-3, and trustees were asked to contact Clerk Pett if they were interested in attending.
4. The District will be holding its annual Legislative Open House on February 11, 2021. This year the open house will be a virtual meeting.
5. The District collected boxes full of toys for the annual Toys for Tots Drive.
6. Wished the board of trustees a happy holiday.
7. Showed a video from the District's drive thru holiday party.

J. **District Legal Counsel Report: None**

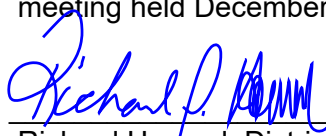
K. **Correspondence: None**

L. **Future Agenda Items: None**

M. **Adjournment:**

1. President Alvarez adjourned the meeting at 4:13 p.m. to the next regularly scheduled meeting on Thursday, January 21, 2021.

I certify that the above minutes substantially reflect the actions taken by the Board of Trustees at its meeting held December 17, 2020



Richard Howard, District Manager

Approved as written and/or corrected by the Board of Trustees at its _____

_____ meeting held _____

ATTEST: _____
James Gomez, Secretary



ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT

January 21, 2021

AGENDA REPORT

AGENDA ITEM E.2

Prepared By: Tan Nguyen, Finance Manager
Submitted By: Rick Howard, District Manager

Agenda Title:

Approve Warrant Register for November 2020

Recommended Action:

Receive and file.

Executive Summary:

Receive and file payment of October warrant register dated January 21, 2021 in the amount of \$441,539.03 as presented by in-house check runs dated November 3, 5, 9, 12, 17, 18, 19, 25, and 30, 2020.

Fiscal Impact:

Amount Requested \$ N/A

Sufficient Budgeted Funds Available:

Category: Pers. Optg. Cap. -or- CIP# Fund#

Previous Relevant Board Actions for This Item:

Exhibits:

Exhibit A: November 2020 Warrant Report

ORANGE COUNTY MOSQUITO & VECTOR CONTROL DISTRICT

REGISTER OF DEMANDS JANUARY 21, 2021

ACCOUNTS PAYABLE REGISTER

ORANGE COUNTY MOSQUITO & VECTOR CONTROL DISTRICT

REGISTER OF DEMANDS

1/21/2021

ACCOUNTS PAYABLE REGISTER

A/P Check Run	11/5/2020	99,786.72
A/P Check Run	11/9/2020	8,220.00
A/P Check Run	11/12/2020	17,366.01
A/P Check Run	11/17/2020	6,532.29
A/P Check Run	11/19/2020	32,931.02
A/P Check Run	11/25/2020	15,737.02
A/P Check Run	11/30/2020	16,492.39
P/R EEASSOC	11/3/2020	877.50
P/R ICMA	11/3/2020	20,113.54
P/R NATION	11/3/2020	4,960.00
P/R USB	11/3/2020	150.39
P/R EEASSOC	11/18/2020	862.50
P/R ICMA	11/18/2020	20,230.48
P/R NATION	11/18/2020	4,960.00
P/R USB	11/18/2020	149.35
P/R CALPERS1	11/18/2020	44,046.05
P/R EDD	11/18/2020	11,192.93
P/R IRS	11/18/2020	39,828.45
P/R STATE	11/18/2020	303.45
P/R TASC	11/18/2020	2,079.02
P/R CALPERS1	11/18/2020	44,024.05
P/R EDD	11/18/2020	10,482.19
P/R IRS	11/18/2020	37,831.21
P/R STATE	11/18/2020	303.45
P/R TASC	11/18/2020	2,079.02
TOTAL	\$	441,539.03

I hereby certify that the claims or demands covered by the foregoing listed warrants have been audited as to the accuracy and availability of funds for payment thereof. Subscribed and sworn on this 21ST day of January 2021.

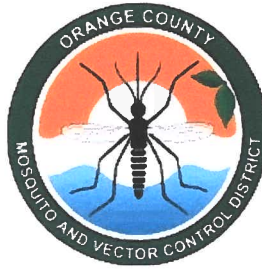

Rick Howard, District Manager


Tan Nguyen, Finance Manager

Accounts Payable

Checks by Date - Summary by Check Date

User: chumphrey
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Check No	Vendor No	Vendor Name	Check Date	Check Amount
ACH	EEASSOC	OCVCD Employee Association	11/03/2020	877.50
ACH	ICMA	ICMA	11/03/2020	20,113.54
ACH	NATION	Nationwide Retirement Solutions	11/03/2020	4,960.00
ACH	USB	PARS/U.S. Bank N.A. Minnesota	11/03/2020	150.39
Total for 11/3/2020:				26,101.43
ACH	ADAPCO	ADAPCO, INC.	11/05/2020	14,263.95
ACH	SPECTRUM	Time Warner Cable/Spectrum	11/05/2020	819.95
ACH	STERI	Stericycle, Inc.	11/05/2020	1,265.43
ACH	VERIZON	Verizon Wireless Services LLC	11/05/2020	12,918.60
25334	AMAZON	AMAZON/SYNCB	11/05/2020	2,588.62
25335	ART	Art Supply Warehouse	11/05/2020	25.80
25336	ATT2	AT&T	11/05/2020	321.37
25337	CAGATE	California Gate & Entry System	11/05/2020	123.75
25338	CITYMV	City of Mission Viejo	11/05/2020	250.00
25339	CLARKE	Clarke Mosquito Control Prod Inc.	11/05/2020	49,450.29
25340	CLEANATN	Cleanation Commercial Services, LLC	11/05/2020	704.00
25341	HARPER	Harper & Burns, LLP	11/05/2020	1,974.40
25342	JKI	Francisco Brito	11/05/2020	72.00
25343	KWEST	K'WEST Printing	11/05/2020	6,285.74
25344	LAMPIRE	Lampire Biological Laboratories, Inc.	11/05/2020	312.00
25345	MARTIN D	Daniel Martinez	11/05/2020	575.00
25346	OREILLY	O'Reilly Auto Enterprises, LLC	11/05/2020	1,341.69
25347	QDOXS	Q Document Solutions, Inc,	11/05/2020	113.10
25348	SCE	Southern Calif. Edison	11/05/2020	6,381.03
Total for 11/5/2020:				99,786.72
ACH	FLEETIO	RARESTEP, INC.	11/09/2020	8,220.00
Total for 11/9/2020:				8,220.00
ACH	JOZAN	Martine Jozan-Work	11/12/2020	500.00
ACH	FEDEX	Federal Express Corp.	11/12/2020	588.36
ACH	GG DISP	Republic Waste Svcs of So. Calif., LLC	11/12/2020	2,538.28
ACH	IRON	Iron Mountain Records Mgmt, Inc.	11/12/2020	112.62
ACH	PRAXAIR	Praxair Distribution, Inc	11/12/2020	1,399.62
ACH	SPARK	Sparkletts	11/12/2020	780.04
ACH	SPECTRUM	Time Warner Cable/Spectrum	11/12/2020	1,494.00
ACH	TRUCPAR	Truck & Auto Supply, Inc.	11/12/2020	801.35
ACH	ULINE	Uline Shipping Supply Specialists	11/12/2020	200.76
25349	AT&T	AT&T	11/12/2020	67.61
25350	CAVILEER	Raymond Cavileer	11/12/2020	150.00
25351	CINTAS	Cintas Corporation No. 2	11/12/2020	3,280.11
25352	DIEHL	White Nelson Diehl Evans LLP	11/12/2020	2,970.00
25353	GFS	Governmental Financial Services	11/12/2020	1,625.00

Check No	Vendor No	Vendor Name	Check Date	Check Amount
25354	OFFICE	OFFICE DEPOT, INC.	11/12/2020	90.47
25355	ORVAC	ORVAC ELECTRONICS	11/12/2020	305.89
25356	PR	P & R Paper Supply Company, Inc.	11/12/2020	454.69
25357	STAPLES	Staples Business Advantage	11/12/2020	7.21
Total for 11/12/2020:				17,366.01
ACH	ABBE	ROGER ABBE	11/17/2020	48.36
ACH	ARIASA	Adina Arias	11/17/2020	234.05
ACH	BENNETT	Stephen Bennett	11/17/2020	234.05
ACH	BOBBITT	Catherine Bobbitt	11/17/2020	234.05
ACH	CAMPBELL	JAMES CAMPBELL	11/17/2020	60.32
ACH	DAIKER	John Daiker	11/17/2020	260.80
ACH	EDISON	SUZANNE R. EDISON	11/17/2020	289.20
ACH	ELLIOTT	MARGARET ELLIOTT	11/17/2020	48.36
ACH	EVER	GARY EVERINGHAM	11/17/2020	104.90
ACH	FOGARTY	Carrie Fogarty	11/17/2020	234.05
ACH	Goedhart	Gerard Goedhart	11/17/2020	234.05
ACH	HEARST	Michael Hearst	11/17/2020	434.05
ACH	Huff	Robert Huff	11/17/2020	234.05
ACH	KELLER	JUSTINE KELLER	11/17/2020	60.32
ACH	KIMBALL	Deborah Kimball	11/17/2020	234.05
ACH	KOENIG	Steve Koenig	11/17/2020	234.05
ACH	LACHANCE	Glenn LaChance	11/17/2020	331.35
ACH	LOUGHNER	LINDA LOUGHNER	11/17/2020	214.60
ACH	MCCARTY	Danny McCarty	11/17/2020	234.05
ACH	MILLER J	Jon Miller	11/17/2020	234.05
ACH	MONTANI	Karen Montani	11/17/2020	72.72
ACH	PARSONS	John Parsons	11/17/2020	234.05
ACH	POSPISIL	Terry Pospisil	11/17/2020	234.05
ACH	REES	JETTE REES	11/17/2020	112.00
ACH	Rehders	Renee Rehders	11/17/2020	234.05
ACH	REINIG	Allyson Reinig	11/17/2020	234.05
ACH	Reisin	Caroline Reisinger	11/17/2020	234.05
ACH	Reynolds	Thomas Reynolds	11/17/2020	48.36
ACH	RINCON	Claudio Rincon	11/17/2020	234.05
ACH	SHAW	LAWRENCE SHAW	11/17/2020	234.05
ACH	SIPE	Russell Sipe	11/17/2020	234.05
ACH	Velten	Robert K. Velten	11/17/2020	234.05
Total for 11/17/2020:				6,532.29
ACH	EEASSOC	OCVCD Employee Association	11/18/2020	862.50
ACH	ICMA	ICMA	11/18/2020	20,230.48
ACH	NATION	Nationwide Retirement Solutions	11/18/2020	4,960.00
ACH	USB	PARS/U.S. Bank N.A. Minnesota	11/18/2020	149.35
ACH	CalPERS1	CalPERS	11/18/2020	44,046.05
ACH	EDD	Employment Development Dept.	11/18/2020	11,192.93
ACH	IRS	Internal Revenue Service	11/18/2020	39,828.45
ACH	STATE	STATE DISBURSEMENT UNIT	11/18/2020	303.45
ACH	TASC	Total Administrative Services Corp.	11/18/2020	2,079.02
ACH	CalPERS1	CalPERS	11/18/2020	44,024.05
ACH	EDD	Employment Development Dept.	11/18/2020	10,482.19
ACH	IRS	Internal Revenue Service	11/18/2020	37,831.21
ACH	STATE	STATE DISBURSEMENT UNIT	11/18/2020	303.45
ACH	TASC	Total Administrative Services Corp.	11/18/2020	2,079.02

Check No	Vendor No	Vendor Name	Check Date	Check Amount
			Total for 11/18/2020:	218,372.15
ACH	JOZAN	Martine Jozan-Work	11/19/2020	250.00
ACH	AIRGAS	AIRGAS USA, LLC	11/19/2020	1,201.56
ACH	LIFE	Life Technologies	11/19/2020	1,069.41
ACH	PRAXAIR	Praxair Distribution, Inc	11/19/2020	532.44
ACH	STERI	Stericycle, Inc.	11/19/2020	1,225.01
ACH	ULINE	Uline Shipping Supply Specialists	11/19/2020	539.10
25358	AMAZON	AMAZON/SYNCB	11/19/2020	2,025.95
25359	ARCHIES	Archies Towing	11/19/2020	142.50
25360	AT T	AT&T MOBILITY	11/19/2020	31.88
25361	BUI DAN	Danny Bui	11/19/2020	150.00
25362	CINTAS	Cintas Corporation No. 2	11/19/2020	789.58
25363	ESA	Entomological Society of America	11/19/2020	377.00
25364	FM	F M CREDIT CARD	11/19/2020	4,335.51
25365	HOME DEP	Acct 6035 3225 0389 2048 Home Depot C	11/19/2020	580.97
25366	LAMPIRE	Lampire Biological Laboratories, Inc.	11/19/2020	312.75
25367	LEADING	LEADING EDGE	11/19/2020	9,392.25
25368	PARS	Public Agency Retirement Svcs	11/19/2020	300.00
25369	SCG	Southern Calif. Gas Co.	11/19/2020	40.99
25370	UNIVAR	ES OPCO USA LLC	11/19/2020	2,392.50
25371	WOODRUFF	Woodruff Spradlin & Smart	11/19/2020	6,625.00
25372	XEROX	Xerox Financial Services	11/19/2020	616.62
			Total for 11/19/2020:	32,931.02
ACH	AIRGAS	AIRGAS USA, LLC	11/25/2020	983.29
ACH	PRAXAIR	Praxair Distribution, Inc	11/25/2020	821.50
25373	AQUA	AquaSolver, LLC	11/25/2020	538.87
25374	AT T FAX	AT&T	11/25/2020	324.93
25375	CAGATE	California Gate & Entry System	11/25/2020	123.75
25376	CINTAS	Cintas Corporation No. 2	11/25/2020	957.39
25377	CROWN	Crown Maintenance	11/25/2020	215.00
25378	DUBOSE	Michael DuBose	11/25/2020	145.41
25379	F&M Bank	Farmers & Merchants Bank	11/25/2020	193.00
25380	HASFIN	Quadiant Finance USA, Inc	11/25/2020	966.33
25381	KWEST	K'WEST Printing	11/25/2020	3,447.37
25382	OREILLY	O'Reilly Auto Enterprises, LLC	11/25/2020	110.50
25383	P4 INC	PACIFIC 4	11/25/2020	675.05
25384	SECURITY	OC Special Events Security, Inc.	11/25/2020	3,464.00
25385	STAPLES	Staples Business Advantage	11/25/2020	372.27
25386	VORTEX	Vortex Industries, Inc.	11/25/2020	1,852.14
25387	ZEP	Acuity Specialty Products, Inc.	11/25/2020	546.22
			Total for 11/25/2020:	15,737.02
ACH	ARCO	ARCO Business Solutions	11/30/2020	15,810.12
ACH	OC TOLL	OC Toll Roads	11/30/2020	682.27
			Total for 11/30/2020:	16,492.39
			Report Total (128 checks):	441,539.03



ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT

January 21, 2021

AGENDA REPORT

AGENDA ITEM E.3

Prepared By: Tan Nguyen, Finance Manager
Submitted By: Rick Howard, District Manager

Agenda Title:

Approve Monthly Financial Report for November 2020

Recommended Action:

Receive and file

Executive Summary:

Accept for inclusion, the Orange County Mosquito and Vector Control District Monthly Financial Report for November 2020

Fiscal Impact:

Amount Requested \$ N/A

Sufficient Budgeted Funds Available:

Category: Pers. Optg. Cap. -or- CIP# Fund#

Previous Relevant Board Actions for This Item:

Exhibits:

Exhibit A: Monthly Financial Report for November 2020

Orange County Mosquito and Vector Control District
Monthly Financial Report
Month Ending November 30, 2020

Fund No.	Fund	Cash Balance 10/31/2020	Revenue	Expenditures	Transfers	Accrual Adjustment	Cash Balance 11/30/2020	Cash Balance 11/30/2019
10	Operating	\$ 2,907,732	\$ 2,854,191	\$ 938,820	\$ -	\$ (41,708)	\$ 4,781,395	\$ 3,017,938
20	Vehicle Replacement	555,206	1,278	-	-	-	556,484	530,848
30	Liability Reserve	360,611	830	-	-	-	361,441	351,976
40	Equipment Replacement	836,602	1,926	-	-	-	838,528	823,496
50	Emergency Vector Control	1,675,027	3,856	-	-	-	1,678,883	1,648,788
60	Facility Improvement	8,188,138	46,732	3,060	-	-	8,231,810	7,314,483
70	Habitat Remediation	100,002	-	-	-	-	100,002	96,902
90	Retiree Medical Insurance	109,446	3,257	6,532	-	-	106,171	(331,791)
95	Retirement Contingency	253,185	583	-	-	-	253,768	249,219
99	Payroll Clearing	118,507	-	-	-	75,221	193,728	72,713
		\$ 15,104,456	\$ 2,912,653	\$ 948,412	\$ -	\$ 33,513	\$ 17,102,210	\$ 13,774,572

Cash & Investment Balances:		Monthly Yield
California LAIF	\$ 6,356,854	0.576%
O.C. Treasurer	1,724,870	0.840%
Stifel Brokerage account		
Money market (par)	92,981	0.01%
Fixed income - Muni (par)	1,605,000	2.56%
Fixed income - other (par)	3,390,000	2.30%
Unamortized premium/(discount) on investments	66,163	n/a
F&M Checking	3,378,839	n/a
Payroll Checking	331,209	n/a
F&M HBP	146,294	0.05%
Petty Cash - Checking	10,000	n/a
Total Cash and Investments	\$ 17,102,210	

Section 115 Irrevocable Trust Balances:		3-month return
PARS Post-Employment Benefits Trust	\$ 3,875,616	3.70%
PARS Pension Trust	2,316,390	3.69%
Total PARS Trust Balances	\$ 6,192,006	


 Richard Howard
 District Manager


 Tan Nguyen
 Finance Manager

Monthly Cash Flow

Month	Revenue	Expenditures	Transfers	Accrual Adjustment	Monthly Cash Flow	Prior Year Comparison
July	\$ 40,367	\$ 1,511,848	\$ -	\$ 37,561	\$ (1,433,920)	\$ (935,740)
August	85,952	1,134,990	-	(7,739)	(1,056,777)	(1,501,829)
September	150,025	993,392	-	20,287	(823,080)	(864,577)
October	59,729	1,476,465	-	25,940	(1,390,796)	(1,419,021)
November	2,912,653	948,412	-	33,513	1,997,754	1,710,464
December					-	4,564,325
January					-	(267,536)
February					-	(785,792)
March					-	297,970
April					-	3,535,134
May					-	(368,295)
June					-	(944,530)
Total YTD	\$ 3,248,726	\$ 6,065,107	\$ -	\$ 109,562	\$ (2,706,819)	\$ 3,020,573

Revenues: 42% of Fiscal Year

10-Operating Fund	Budget	Actual	Percentage
Property Taxes	\$ 6,329,449	\$ 1,291,690	20.4%
1996 Benefit Assessment	1,563,213	334,439	21.4%
2004 Benefit Assessment	6,682,713	1,359,680	20.3%
Interest and Concessions	65,000	29,752	45.8%
Miscellaneous	1,000	1,251	125.1%
Successor Agency Pass thru/Residual	282,145	1,848	0.7%
Rent for Cell Sites	28,200	12,317	43.7%
VCJPA Pooled Services	72,000	-	0.0%
CDPH - CA State Grant	-	-	0.0%
Charges for Services	50,000	46,303	92.6%
Total Operating Fund Revenues	15,073,720	3,077,280	20.4%

The major distributions of property tax and benefit assessments occur in four installments: December, January, April, and May

Monies come through the County and are unpredictable

No.	Other Funds	Budget	Actual	Percentage
20	Vehicle Replacement	17,000	1,278	7.5%
30	Liability Reserve	4,000	830	20.8%
40	Equipment Replacement	9,000	1,926	21.4%
50	Emergency Vector Control	15,000	3,856	25.7%
60	Facility Improvement	380,000	146,800	38.6%
70	Habitat Remediation	-	-	0.0%
90	Retiree Medical Insurance	38,760	16,173	41.7%
95	Retirement Contingency	4,000	583	14.6%
	Total Other Funds	446,760	171,446	38.4%
	Total Revenue	\$ 15,541,480	\$ 3,248,726	20.9%

Expenditures: 42% of Fiscal Year

No.	10-Operating Fund	Budget	Actual	Percentage
110	Trustees	\$ 78,050	\$ 12,824	16.4%
120	District Manager	431,050	151,406	35.1%
130	Legal Services	124,000	38,654	31.2%
140	Non-Departmental	310,500	176,368	56.8%
	Executive	943,600	379,252	40.2%
210	Administrative Services	848,270	331,165	39.0%
220	Insurance	706,500	740,679	104.8%
	Administrative Services	1,554,770	1,071,844	68.9%
310	Technical Services	1,794,375	732,093	40.8%
	Scientific Technical Services	1,794,375	732,093	40.8%
410	Field Operations	7,160,400	2,806,381	39.2%
430	Vehicle Maintenance	861,700	222,937	25.9%
440	Building Maintenance	256,510	88,134	34.4%
	Operations	8,278,610	3,117,452	37.7%
510	Public Information	791,475	264,829	33.5%
520	Information Technology	766,640	326,895	42.6%
530	Public Service	171,000	66,253	38.7%
	Public Information	1,729,115	657,977	38.1%
	Total Operating Fund Expenditures	14,300,470	5,958,618	41.7%

Annual insurance premiums were paid in July.

No.	Other Funds	Budget	Actual	Percentage
20	Vehicle Replacement	-	-	0.0%
30	Liability Reserve	-	-	0.0%
40	Equipment Replacement	-	-	0.0%
50	Emergency Vector Control	-	-	0.0%
60	Facility Improvement	44,000	17,521	39.8%
70	Habitat Remediation	-	-	0.0%
90	Retiree Medical Insurance	198,650	88,968	44.8%
95	Retirement Contingency	100,000	-	0.0%
	Total Other Funds	342,650	106,489	31.1%
	Total Expenditures	\$ 14,643,120	6,065,107	41.4%

STIFEL PRESTIGE® ACCOUNT STATEMENT

1 1 1 D17736 SSNR00801

**ORANGE COUNTY MOSQUITO &
VECTOR CONTROL DISTRICT
13001 GARDEN GROVE BLVD
GARDEN GROVE CA 92843-2102**



Your Financial Advisor (LU04):

SANDRA WHEELER
Telephone: (805) 783-2921

Office Serving Your Account:

999 MONTEREY ST. STE. 360
SAN LUIS OBISPO, CA 93401

PRIMARY INVESTMENT OBJECTIVE: Income

RISK TOLERANCE: Moderate

For a full definition of this objective and risk tolerance, including the use of margin, please see www.stifel.com, IMPORTANT DISCLOSURES, or contact your Financial Advisor. If you have any questions concerning your investment objective or risk tolerance, or wish to make a change, please contact your Financial Advisor or the Branch Manager for this office.

TRADING TAX LOT RELIEF METHOD: First In, First Out

INVESTOR UPDATE

The end of the year will be here before you know it. Talk to your Stifel Financial Advisor to assess your plans, schedule charitable donations, and tie up any tax planning before 2020 comes to a close.

ACCOUNT PROTECTION

Stifel, Nicolaus & Company, Incorporated provides up to \$150 million of coverage for securities held in client accounts, of which \$1.15 million may be in cash deposits. Ask your Financial Advisor for more details.

Thank you for allowing Stifel to serve you. In order to protect your rights, including rights under the Securities Investor Protection Act (SIPA), please promptly report, in writing, any inaccuracies or discrepancies in this account or statement to the Compliance Department of Stifel at the address below. If you have any questions regarding your account or this statement, please contact your Financial Advisor or the Branch Manager for this office. For additional information regarding your Stifel account, please refer to the current Stifel Account Agreement and Disclosure Booklet, which is available at www.stifel.com/disclosures/account-agreement.

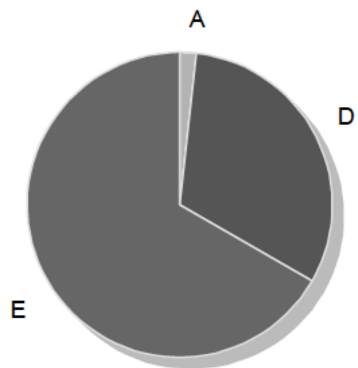
PORTFOLIO SUMMARY	November 30	October 31
Net Cash Equivalents **	92,981.07	63,015.70
Net Portfolio Assets held at Stifel	5,136,251.85	5,157,993.40
Net Portfolio Assets not held at Stifel		
Net Portfolio Value	\$5,229,232.92	\$5,221,009.10
YOUR CHANGE IN PORTFOLIO VALUE	November 30	October 31
Net Cash Flow (Inflows/Outflows) ²		
Securities Transferred In/Out		
Income and Distributions	14,965.37	18,485.35
Change in Securities Value	-6,741.55	-16,525.69
Net Change in Portfolio Value	\$8,223.82	\$1,959.66

** See the Stifel Insured Bank Deposit Program Disclosure Statements for additional information.

² Does not include cost or proceeds for buy or sell transactions.

YOUR ASSET SUMMARY

	Value on November 30, 2020 (\$)	Percentage of your account
A Net Cash Equivalents**	92,981.07	1.78%
D Fixed Income-Muni	1,649,076.05	31.54%
E Fixed Income-Other	3,487,175.80	66.69%
Total Assets	\$5,229,232.92	100.00%



ASSET SUMMARY

	Value as of November 30, 2020			% of assets *	Gains/(-)Losses		
	At Stifel	Not at Stifel	Total		Unrealized	Realized This Period	Year-to-date
Cash							
Cash Sweep**	92,981.07		92,981.07	1.78%			
Margin Balance							
A. Net Cash Equivalents	\$92,981.07		\$92,981.07	1.78%			
B. Equities							
C. Preferreds							
D. Fixed Income-Muni	1,649,076.05		1,649,076.05	31.54%	446.74	-895.84	-895.84
E. Fixed Income-Other	3,487,175.80		3,487,175.80	66.69%	76,732.40		8,159.36
F. Mutual Funds							
G. Unit Investment Trusts							
H. Insurance Products							
I. Alternative Investments							
J. Other Investments							
K. Stifel Smart Rate Program **							
Net Portfolio Assets	\$5,136,251.85	\$0.00	\$5,136,251.85	98.22%	\$77,179.14	-\$895.84	\$7,263.52
Net Portfolio Value	\$5,229,232.92	\$0.00	\$5,229,232.92	100.00%	\$77,179.14	-\$895.84	\$7,263.52

INCOME & DISTRIBUTION SUMMARY

	Security Type	Year-to-date	This period
Dividends	Tax-Exempt		
	Taxable		
Interest	Tax-Exempt	2,273.75	2,273.75
	Taxable	120,543.08	12,691.62
Capital Gain Distributions			
Return of Principal			
Other			
Total Income & Distributions		\$122,816.83	\$14,965.37

INFORMATION SUMMARY

	Security Type	Year-to-date	This period
Accrued Interest Paid	Tax-Exempt	1,262.50	
	Taxable	10,377.94	
Accrued Interest Received	Tax-Exempt		
	Taxable	2,108.10	
Gross Proceeds		2,406,598.50	15,000.00
Federal Withholding			
Foreign Taxes Paid			
Margin Interest Charged			

* Please note "% of assets" figures are shown gross of any amounts owed to Stifel and/or net short positions.

** Include balances which are FDIC insured bank deposits, not cash held in your Securities Account and not covered by SIPC.

ASSET DETAILS

This section shows the cash equivalents and/or securities in your account. Prices obtained from outside sources are considered reliable but are not guaranteed by Stifel. Actual prices may vary, and upon sale, you may receive more or less than your original purchase price. Contact your Financial Advisor for current price quotes. Gain/Loss is provided for informational purposes only. Cost basis may be adjusted for, but not limited to, amortization, accretion, principal paydowns, capital changes, listed option premiums, gifting rules, inheritance step-up, or wash sales. The Gain/Loss information should not be used for tax preparation without the assistance of your tax advisor. Lot detail quantity displayed is truncated to the one thousandth of a share.

NET CASH EQUIVALENTS

	<i>Current value</i>	<i>Cost Basis</i>	<i>Estimated Annualized Income</i>	<i>Estimated Yield %</i>
STIFEL FDIC INSURED	92,981.07	92,981.07	9.30	0.01%
Total Net Cash Equivalents	\$92,981.07	\$92,981.07	\$9.30	0.01%

STIFEL INSURED BANK DEPOSIT PROGRAM

Funds deposited through the Stifel Insured Bank Deposit Program (the "Program") may be deposited at multiple banks. The Program's Disclosure Statement is available at www.stifel.com/disclosures/account-agreement. The deposits are not covered by the Securities Investor Protection Corporation ("SIPC"). Deposits are insured by the FDIC within applicable limits.

Balances in the Program or in any money market fund offered as an available fund for Cash Investment Services at Stifel, subject to applicable limits, can be liquidated upon request and the proceeds returned to your securities account or can be distributed directly to you with the proper withdrawal form on file.

PORTFOLIO ASSETS - HELD AT STIFEL

Fixed Income-Muni	<i>Symbol/ Bond Rating/ Type</i>	<i>Quantity</i>	<i>Current Price/ Current Value</i>	<i>Average Unit Cost/ Cost Basis</i>	<i>Accrued Income⁶</i>	<i>Unrealized Gain/(-)Loss¹⁰</i>	<i>Estimated Annualized Income</i>	<i>Estimated Yield %</i>
OHIO ST WTR DEV AUTH POLLTN CTL REV WTR QUAL B2 BABS B/E TXBL CPN 3.742% DUE 12/01/21 DTD 08/24/10 FC 12/01/10 CUSIP: 67766WQH8 <i>Original Cost: 155,372.00</i>	S&P: AAA Moody: Aaa Cash	150,000	102.1610 153,241.50	102.0067 153,010.09	2,806.50	231.41	5,613.00	3.66%
NORCO CA CMNTY REDEV AGY SUCCESS AGY REV TAX ALLOC RFDG B B/E TXBL CPN 2.715% DUE 03/01/22 DTD 12/20/17 FC 03/01/18 CUSIP: 655505BJ3 <i>Original Cost: 153,354.50</i>	S&P: AA- Cash	150,000	102.6220 153,933.00	101.5034 152,255.13	1,018.13	1,677.87	4,072.50	2.65%



ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Fixed Income-Muni	<i>Symbol/ Bond Rating/ Type</i>	<i>Quantity</i>	<i>Current Price/ Current Value</i>	<i>Average Unit Cost/ Cost Basis</i>	<i>Accrued Income⁶</i>	<i>Unrealized Gain/(-)Loss¹⁰</i>	<i>Estimated Annualized Income</i>	<i>Estimated Yield %</i>
OCEANSIDE CA PENSION OBLIG RFDG REV B/E TXBL CPN 3.839% DUE 08/15/22 DTD 08/11/15 FC 02/15/16 CUSIP: 675371AY4 <i>Original Cost: 104,986.00</i>	S&P: AA+ Cash	100,000	105.6270 105,627.00	103.7257 103,725.73	1,130.37	1,901.27	3,839.00	3.63%
HILLSBOROUGH CNTY FL AVIATION AUTH CUST FAC REV TAMPA INTL B/E TXBL CPN 3.549% DUE 10/01/22 DTD 09/03/15 FC 04/01/16 CUSIP: 432275AE5 <i>Original Cost: 205,191.00</i>	S&P: BBB+ Moody: A3 Cash	200,000	103.5390 207,078.00	102.0296 204,059.26	1,183.00	3,018.74	7,098.00	3.43%
WATAUGA CNTY NC RFDG B/E TXBL CPN 2.330% DUE 06/01/23 DTD 10/02/19 FC 06/01/20 CUSIP: 94109SAT8 <i>Original Cost: 113,363.30</i>	S&P: AA Cash	110,000	102.3110 112,542.10	102.5181 112,769.94	1,281.50	-227.84	2,563.00	2.28%
CONNECTICUT ST SER A B/E TXBL CPN 1.998% DUE 07/01/24 DTD 06/11/20 FC 01/01/21 CUSIP: 20772KJW0 <i>Original Cost: 112,753.90</i>	S&P: A Moody: A1 Cash	110,000	104.5820 115,040.20	102.2294 112,452.33	1,037.85	2,587.87	2,197.80	1.91%
MIAMI DADE CNTY FL AVIATION REV RFDG SER B B/E TXBL CPN 2.504% DUE 10/01/24 DTD 08/25/16 FC 10/01/16 CUSIP: 59333PV39 <i>Original Cost: 208,207.00</i>	S&P: A- Cash	200,000	102.6700 205,340.00	103.9031 207,806.13	834.67	-2,466.13	5,008.00	2.44%
NEW YORK NY CITY HSG DEV CORP MLTIFAM HSG REV SER E B/E CPN 3.000% DUE 05/01/25 DTD 09/24/14 FC 11/01/14 CALL 05/01/23 @ 100.000 CUSIP: 64972CBF9 <i>Original Cost: 143,952.29</i>	S&P: AA+ Moody: Aa2 Cash	135,000	105.4250 142,323.75	105.9048 142,971.44	337.50	-647.69	4,050.00	2.85%

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Fixed Income-Muni	<i>Symbol/ Bond Rating/ Type</i>	<i>Quantity</i>	<i>Current Price/ Current Value</i>	<i>Average Unit Cost/ Cost Basis</i>	<i>Accrued Income⁶</i>	<i>Unrealized Gain/(-)Loss¹⁰</i>	<i>Estimated Annualized Income</i>	<i>Estimated Yield %</i>
SUFFOLK CNTY NY RFDG SER C AGM B/E TXBL CPN 1.607% DUE 06/15/25 DTD 11/18/20 FC 12/15/20 CUSIP: 86476PE20 <i>Original Cost: 252,280.00</i>	S&P: AA Cash	250,000	100.4950 251,237.50	100.9057 252,264.30	145.08	-1,026.80	4,017.50	1.60%
CLEVELAND OH INCM TAX REV RFDG SUB LEIN SER A 1 B/E TXBL CPN 1.985% DUE 10/01/25 DTD 02/26/20 FC 10/01/20 CUSIP: 186387VE3 <i>Original Cost: 104,395.00</i>	S&P: AA Moody: A1 Cash	100,000	102.3210 102,321.00	104.3200 104,320.00	330.83	-1,999.00	1,985.00	1.94%
STEPHENSON CNTY IL SCH DIST 145 FREEPORT RFDG AGM B/E TXBL CPN 1.750% DUE 10/01/25 DTD 11/24/20 FC 04/01/21 CUSIP: 858892MD1 <i>Original Cost: 103,005.00</i>	S&P: AA Cash	100,000	100.3920 100,392.00	102.9950 102,994.96	34.03	-2,602.96	1,750.00	1.74%
Total Fixed Income-Muni		1,605,000	\$1,649,076.05	\$1,648,629.31	\$10,139.46	\$446.74	\$42,193.80	2.56%
Municipal Bonds held may or may not be tax free. Please consult with your tax advisor.								
Fixed Income-Other	<i>Symbol/ Bond Rating/ Type</i>	<i>Quantity</i>	<i>Current Price/ Current Value</i>	<i>Average Unit Cost/ Cost Basis</i>	<i>Accrued Income⁶</i>	<i>Unrealized Gain/(-)Loss¹⁰</i>	<i>Estimated Annualized Income</i>	<i>Estimated Yield %</i>
APPLE INC NOTE CPN 2.850% DUE 05/06/21 DTD 05/06/14 FC 11/06/14 CUSIP: 037833AR1 <i>Original Cost: 254,209.50</i>	S&P: AA+ Moody: Aa1 Cash	250,000	101.1130 252,782.50	100.3864 250,965.98	494.79	1,816.52	7,125.00	2.82%
U S TREASURY NOTE CPN 2.625% DUE 05/15/21 DTD 05/15/18 FC 11/15/18 CUSIP: 9128284P2 <i>Original Cost: 253,350.75</i>	Moody: Aaa Cash	250,000	101.1640 252,910.00	100.3115 250,778.87	290.06	2,131.13	6,562.50	2.59%



ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Fixed Income-Other	<i>Symbol/ Bond Rating/ Type</i>	<i>Quantity</i>	<i>Current Price/ Current Value</i>	<i>Average Unit Cost/ Cost Basis</i>	<i>Accrued Income⁶</i>	<i>Unrealized Gain/(-)Loss¹⁰</i>	<i>Estimated Annualized Income</i>	<i>Estimated Yield %</i>
SALLIE MAE BANK SALT LAKE CITY UT CD FDIC #58177 CPN 2.450% DUE 05/17/21 DTD 05/15/19 FC 11/15/19 CUSIP: 7954502W4	Cash	100,000	101.1180" 101,118.00	100.0000 100,000.00	107.40	1,118.00	2,450.00	2.42%
FEDL NATL MTG ASSN NOTE CPN 2.750% DUE 06/22/21 DTD 06/25/18 FC 12/22/18 CUSIP: 3135G0U35 <i>Original Cost: 255,015.78</i>	S&P: AA+ Moody: Aaa Cash	250,000	101.4740 253,685.00	100.5570 251,392.53	3,036.46	2,292.47	6,875.00	2.71%
FEDL NATL MTG ASSN NOTE CPN 2.000% DUE 01/05/22 DTD 01/09/17 FC 07/05/17 CUSIP: 3135G0S38 <i>Original Cost: 152,238.52</i>	S&P: AA+ Moody: Aaa Cash	150,000	102.0570 153,085.50	100.7029 151,054.38	1,216.67	2,031.12	3,000.00	1.96%
PFIZER INC NOTE CPN 2.800% DUE 03/11/22 DTD 03/11/19 FC 09/11/19 CUSIP: 717081ER0 <i>Original Cost: 101,548.00</i>	S&P: A+ Moody: A2 Cash	100,000	103.1820 103,182.00	100.7204 100,720.40	630.00	2,461.60	2,800.00	2.71%
U S TREASURY NOTE CPN 2.250% DUE 04/15/22 DTD 04/15/19 FC 10/15/19 CUSIP: 9128286M7 <i>Original Cost: 253,725.75</i>	Moody: Aaa Cash	250,000	102.9060 257,265.00	100.7535 251,883.80	726.30	5,381.20	5,625.00	2.19%
IBM CORP UNSECD NOTE CPN 2.850% DUE 05/13/22 DTD 05/15/19 FC 11/15/19 CUSIP: 459200JX0 <i>Original Cost: 151,274.50</i>	S&P: A Moody: A2 Cash	150,000	103.7420 155,613.00	100.3539 150,530.87	190.00	5,082.13	4,275.00	2.75%
SALLIE MAE BANK SALT LAKE CITY UT CD FDIC #58177 CPN 2.550% DUE 05/16/22 DTD 05/15/19 FC 10/15/19 CUSIP: 7954502X2	Cash	100,000	103.5670" 103,567.00	100.0000 100,000.00	111.79	3,567.00	2,550.00	2.46%



ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Fixed Income-Other	<i>Symbol/ Bond Rating/ Type</i>	<i>Quantity</i>	<i>Current Price/ Current Value</i>	<i>Average Unit Cost/ Cost Basis</i>	<i>Accrued Income⁶</i>	<i>Unrealized Gain/(-)Loss¹⁰</i>	<i>Estimated Annualized Income</i>	<i>Estimated Yield %</i>
ALLY BANK SANDY UT CD FDIC #57803 CPN 1.850% DUE 08/29/22 DTD 08/29/19 FC 02/29/20 CUSIP: 02007GLJ0	Cash	200,000	102.9960" 205,992.00	100.0000 200,000.00	952.88	5,992.00	3,700.00	1.80%
UNITEDHEALTH GRP INC NOTE CPN 2.375% DUE 10/15/22 DTD 10/25/17 FC 04/15/18 CUSIP: 91324PDD1 <i>Original Cost: 153,461.00</i>	S&P: A+ Moody: A3 Cash	150,000	103.9130 155,869.50	101.6158 152,423.77	455.21	3,445.73	3,562.50	2.29%
JPMORGAN CHASE & CO SR NOTE CPN 3.200% DUE 01/25/23 DTD 01/25/13 FC 07/25/13 CUSIP: 46625HJH4 <i>Original Cost: 254,432.00</i>	S&P: A- Moody: A2 Cash	250,000	105.9830 264,957.50	101.0566 252,641.47	2,800.00	12,316.03	8,000.00	3.02%
MORGAN STANLEY BANK NA SALT LAKE CITY UT CD FDIC #32992 CPN 2.650% DUE 01/25/23 DTD 01/25/18 FC 07/25/18 CUSIP: 61747MH46 <i>Original Cost: 101,128.00</i>	Cash	100,000	105.3400" 105,340.00	100.6905 100,690.52	936.58	4,649.48	2,650.00	2.52%
SIMON PPTY GRP LP NOTE CPN 2.750% DUE 02/01/23 DTD 12/17/12 FC 08/01/13 CALL 11/01/22 @ 100.000 CUSIP: 828807CN5 <i>Original Cost: 151,146.50</i>	S&P: A Moody: A3 Cash	150,000	104.2980 156,447.00	100.5887 150,882.98	1,375.00	5,564.02	4,125.00	2.64%
AMERICAN EXPRESS NATL BK SANDY UT CD FDIC #27471 CPN 1.450% DUE 03/31/23 DTD 03/31/20 FC 09/30/20 CUSIP: 02589AB50	Cash	100,000	102.9780" 102,978.00	100.0000 100,000.00	246.30	2,978.00	1,450.00	1.41%



ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Fixed Income-Other	<i>Symbol/ Bond Rating/ Type</i>	<i>Quantity</i>	<i>Current Price/ Current Value</i>	<i>Average Unit Cost/ Cost Basis</i>	<i>Accrued Income⁶</i>	<i>Unrealized Gain/(-)Loss¹⁰</i>	<i>Estimated Annualized Income</i>	<i>Estimated Yield %</i>
CAPITAL ONE BANK USA NA GLEN ALLEN VA CD FDIC #33954 CPN 1.450% DUE 04/10/23 DTD 04/08/20 FC 10/08/20 CUSIP: 14042TDF1	Cash	240,000	103.0120" 247,228.80	100.0000 240,000.00	514.85	7,228.80	3,480.00	1.41%
MICROSOFT CORP NOTE CPN 2.375% DUE 05/01/23 DTD 05/02/13 FC 11/01/13 CALL 02/01/23 @ 100.000 CUSIP: 594918AT1 <i>Original Cost: 102,711.00</i>	S&P: AAA Moody: Aaa Cash	100,000	104.7120 104,712.00	101.9448 101,944.77	197.92	2,767.23	2,375.00	2.27%
BMW BANK OF NORTH AMER SALT LAKE CITY UT CD FDIC #35141 CPN 1.650% DUE 02/28/24 DTD 02/28/20 FC 08/28/20 CUSIP: 05580AVB7	Cash	200,000	104.6590" 209,318.00	100.0000 200,000.00	858.90	9,318.00	3,300.00	1.58%
ROGERS MEM HOSP INC BOND CPN 2.383% DUE 07/01/24 DTD 08/28/19 FC 01/01/20 CUSIP: 775200AE8 <i>Original Cost: 104,880.00</i>	S&P: A Cash	100,000	103.1450 103,145.00	104.5331 104,533.06	992.92	-1,388.06	2,383.00	2.31%
JPMORGAN CHASE BANK NA COLUMBUS OH CD FDIC #00628 CLLB STEP CPN 2.000% DUE 12/30/24 DTD 12/30/19 FC 06/30/20 CALL 12/30/20 @ 100.000 CUSIP: 48128LVM8	Cash	200,000	98.9900" 197,980.00	100.0000 200,000.00	1,687.67	-2,020.00	4,000.00	2.02%
Total Fixed Income-Other		3,390,000	\$3,487,175.80	\$3,410,443.40	\$17,821.70	\$76,732.40	\$80,288.00	2.30%
Principal Protected Notes are subject to the credit risk of the issuer. Principal Protected Market Linked CDs are subject to applicable limits.								
Total Portfolio Assets - Held at Stifel			\$5,136,251.85	\$5,059,072.71		\$77,179.14	\$122,481.80	2.38%
Total Net Portfolio Value			\$5,229,232.92	\$5,152,053.78		\$77,179.14	\$122,491.10	2.34%

FOOTNOTE DEFINITIONS

- ⁶ **Accrued Income:** Accrued Income amounts are provided for informational purposes only and are not included as part of the Net Portfolio Value. Accrued Income represents the sum of accrued interest and accrued dividends on securities positions, but which Stifel has not yet received. Stifel cannot guarantee the accuracy of the Accrued Income, which may be subject to change. Accrued Income amounts are not covered by SIPC and should not be relied upon for making investment decisions.
- ¹⁰ Please note "Unrealized Gain/(-)Loss" does not equal the total current value minus the total cost if any value or cost amounts are missing. Unrealized gains or losses are provided for your information only and should not be used for tax purposes.
- " The price assigned to this instrument may have been provided by a national pricing service and is derived from a 'market-driven pricing model.'
This price may not be the actual price you would receive in the event of a sale prior to the maturity of the C.D. Additional information is available upon request.



ACTIVITY SUMMARY				CASH EQUIVALENTS		
Type of Activity	Activity	Year-to-date	This period	Cash	Cash Sweep	Margin
Opening Balance - Net Cash Equivalents			\$63,015.70	\$63,015.70	\$0.00	\$0.00
Buy and Sell Transactions	Assets Bought	-2,936,693.64				
	Assets Sold/Redeemed	2,408,706.60	15,000.00	15,000.00		
Deposits	Deposits Made To Your Account	203,554.16				
Withdrawals	Withdrawals From Your Account					
Income and Distributions	Income and Distributions	122,816.83	14,965.37	14,965.37		
Cash Sweep Activity	Cash Sweep Activity			-92,981.07	92,981.07	
Margin Interest	Margin Interest Charged					
Other	Other Transactions					
Cash Management Activity	Card Activity					
	ACH/ATM Activity					
Checkwriting Activity	Checks You Wrote					
Closing Balance - Net Cash Equivalents			\$92,981.07	\$0.00	\$92,981.07	\$0.00
Securities Transferred	Securities Transferred In/Out					

ACTIVITY DETAILS					CASH EQUIVALENTS			
					This period	Cash	Cash Sweep	Margin
Opening Balance - Net Cash Equivalents					\$63,015.70	\$63,015.70	\$0.00	\$0.00
Assets Sold/Redeemed								
Date	Activity	Quantity	Price	Description	Total	Cash	Cash Sweep	Margin
11/20/2020	Redemption	-15,000.000		NEW YORK NY CITY HSG XXX PARTIAL CALL 64972C-BF-9 REV SER E B/E CPN 3.000% DUE 11/20/20 DTD 09/24/14 FC 11/01/14 CUSIP: 649720919	15,000.00	15,000.00		
Total Assets Sold/Redeemed					\$15,000.00	\$15,000.00		

ACTIVITY DETAILS continued

CASH EQUIVALENTS continued

Income and Distributions

<i>Date</i>	<i>Activity</i>	<i>Quantity</i>	<i>Description</i>	<i>Total</i>	<i>Cash</i>	<i>Cash Sweep</i>	<i>Margin</i>
11/2/2020	Interest		MICROSOFT CORP NOTE CPN 2.375% DUE 05/01/23 DTD 05/02/13 FC 11/01/13 CALL 02/01/23 @ 100.000 110120 100,000 CUSIP: 594918AT1	1,187.50	1,187.50		
11/2/2020	Interest		NEW YORK NY CITY HSG DEV CORP MLTIFAM HSG REV SER E B/E CPN 3.000% DUE 05/01/25 DTD 09/24/14 FC 11/01/14 110120 150,000 CUSIP: 64972CBF9	2,250.00	2,250.00		
11/6/2020	Interest		APPLE INC NOTE CPN 2.850% DUE 05/06/21 DTD 05/06/14 FC 11/06/14 110620 250,000 CUSIP: 037833AR1	3,562.50	3,562.50		
11/13/2020	Interest		IBM CORP UNSECD NOTE CPN 2.850% DUE 05/13/22 DTD 05/15/19 FC 11/15/19 111320 150,000 CUSIP: 459200JX0	2,137.50	2,137.50		
11/16/2020	Interest		SALLIE MAE BANK SALT LAKE CITY UT CD FDIC #58177 CPN 2.450% DUE 05/17/21 DTD 05/15/19 FC 11/15/19 111520 100,000 CUSIP: 7954502W4	1,235.07	1,235.07		
11/16/2020	Interest		SALLIE MAE BANK SALT LAKE CITY UT CD FDIC #58177 CPN 2.550% DUE 05/16/22 DTD 05/15/19 FC 10/15/19 111520 100,000 CUSIP: 7954502X2	1,285.48	1,285.48		

ACTIVITY DETAILS continued

CASH EQUIVALENTS continued

Income and Distributions continued

<i>Date</i>	<i>Activity</i>	<i>Quantity</i>	<i>Description</i>	<i>Total</i>	<i>Cash</i>	<i>Cash Sweep</i>	<i>Margin</i>
11/16/2020	Interest		U S TREASURY NOTE CPN 2.625% DUE 05/15/21 DTD 05/15/18 FC 11/15/18 111520 250,000 CUSIP: 9128284P2	3,281.25	3,281.25		
11/20/2020	Interest		NEW YORK NY CITY HSG XXX PARTIAL CALL 64972C-BF-9 REV SER E B/E CPN 3.000% DUE 11/20/20 DTD 09/24/14 FC 11/01/14 NEW YORK NY CITY HSG XXX CUSIP: 649720919	23.75	23.75		
11/30/2020	Interest		STIFEL FDIC INSURED BANK DEPOSIT PROGRAM 113020 92,981 CUSIP: 09999844	2.32	2.32		
Total Income and Distributions				\$14,965.37	\$14,965.37		

Cash Sweep Activity

<i>Date</i>	<i>Activity</i>	<i>Description</i>	<i>Total</i>	<i>Cash</i>	<i>Cash Sweep</i>	<i>Margin</i>
11/2/2020	Purchase	STIFEL FDIC INSURED BANK DEPOSIT PROGRAM		-418,300.70	418,300.70	
11/3/2020	Purchase	STIFEL FDIC INSURED BANK DEPOSIT PROGRAM		-3,437.50	3,437.50	
11/9/2020	Purchase	STIFEL FDIC INSURED BANK DEPOSIT PROGRAM		-3,562.50	3,562.50	
11/16/2020	Purchase	STIFEL FDIC INSURED BANK DEPOSIT PROGRAM		-2,137.50	2,137.50	
11/17/2020	Purchase	STIFEL FDIC INSURED BANK DEPOSIT PROGRAM		-5,801.80	5,801.80	
11/18/2020	Sale	STIFEL FDIC INSURED BANK DEPOSIT PROGRAM		252,280.00	-252,280.00	
11/23/2020	Purchase	STIFEL FDIC INSURED BANK DEPOSIT PROGRAM		-15,023.75	15,023.75	
11/24/2020	Sale	STIFEL FDIC INSURED BANK DEPOSIT PROGRAM		103,005.00	-103,005.00	

ACTIVITY DETAILS continued

Cash Sweep Activity continued

<i>Date</i>	<i>Activity</i>	<i>Description</i>	<i>Total</i>	<i>Cash</i>	<i>Cash Sweep</i>	<i>Margin</i>
11/30/2020	Purchase	STIFEL FDIC INSURED BANK DEPOSIT PROGRAM		-2.32	2.32	
Total Cash Sweep Activity			\$0.00	-\$92,981.07	\$92,981.07	

CASH EQUIVALENTS continued

	<i>This period</i>	<i>Cash</i>	<i>Cash Sweep</i>	<i>Margin</i>
Closing Balance - Net Cash Equivalents	\$92,981.07	\$0.00	\$92,981.07	\$0.00

REALIZED GAINS/(-)LOSSES

This section provides estimated realized gains or losses for informational purposes only. Cost basis may be adjusted due to, but not limited to, the following: amortization, accretion, principal paydowns, capital changes, listed option premiums, gifting rules, inheritance step-up, or wash sales. Unless another method was in effect at the time of the trade, the trading tax lot relief method indicated on the first page of the statement was used to calculate gains or losses. Please review this information carefully for accuracy, and contact your Financial Advisor with any questions.

	<i>Closing Transaction</i>	<i>Date Acquired</i>	<i>Date Sold</i>	<i>Quantity</i>	<i>Cost Basis</i>	<i>Sale Proceeds</i>	<i>Realized Gain/(-)Loss**</i>
Fixed Income-Muni							
NEW YORK NY CITY HSG DEV CORP MLTIFAM HSG CUSIP: 64972CBF9	REDEEMED	08/10/20	11/20/20	15,000	15,895.84	15,000.00	-895.84 (ST)
Total Fixed Income-Muni					\$15,895.84	\$15,000.00	-\$895.84
Total Realized Gains/(-)Losses					\$15,895.84	\$15,000.00	-\$895.84
Total Net Short-Term (ST)					\$15,895.84	\$15,000.00	-\$895.84
Total Net Long-Term (LT)					\$0.00	\$0.00	\$0.00
Total Net Other-Term (OT)					\$0.00	\$0.00	\$0.00

** Please note "Realized Gain/(-)Loss" does not equal total sale proceeds minus total cost basis if any cost basis amounts are missing.



Stifel Insured Bank Deposit Program

Amount(s) listed below include accrued interest in the amount of \$2.32. The rate at month-end was 0.01%.

Description	Location	Previous Month Value	Current Month Value
Stifel Bank and Trust	St. Louis, MO	\$0.00	\$26,525.63
Stifel Bank	Clayton, MO	\$0.00	\$0.76
JPMorgan Chase Bank NA	Columbus, OH	\$0.00	\$66,454.68
Closing Balance - Stifel Insured Bank Deposit Program			\$92,981.07

Your deposit balances at each Program Bank are eligible for insurance by the FDIC within applicable limits. The deposit balances are not insured by SIPC. Please refer to the Stifel Insured Bank Deposit Program Disclosure Statement and the Stifel Insured Bank Deposit Program for Retirement Accounts Disclosure Statement which are available at www.stifel.com/disclosures/account-agreement or from your Financial Advisor.

STIFEL

Certain Definitions

“Stifel” means Stifel, Nicolaus & Company, Incorporated, Member SIPC and NYSE.

“Stifel Banks” means affiliated banks of Stifel, which may include Stifel Bank & Trust, Member Federal Deposit Insurance Corporation (“FDIC”); Stifel Bank, Member FDIC; Stifel Trust Company, National Association, Member FDIC; and Stifel Trust Company Delaware, National Association, Member FDIC. **Unless otherwise specified, products purchased from or held by Stifel in a securities account are not insured by the FDIC, are not deposits or other obligations of the Stifel Banks, are not guaranteed by the Stifel Banks, and are subject to investment risk, including possible loss of the principal.**

“Stifel Smart Rate Program” refers to a money market deposit account at Stifel Bank & Trust or Stifel Bank, each an affiliate of Stifel, which is made available to eligible clients of Stifel. The deposits are insured by the FDIC, within applicable limits, and are not cash held in your securities account. For additional information and terms and conditions concerning these deposits, see the Stifel Smart Rate Program Disclosure, which is available at www.stifel.com/disclosures or from your Financial Advisor.

Account Disclosures

Errors and Inquiries – You should review this statement carefully and notify the Manager of the Office servicing your account of anything you believe to be incorrect. Any verbal communications should be re confirmed in writing to protect your rights, including rights under SIPA. All statements furnished to you shall be considered accurate, complete, and acknowledged by you unless you report any inaccuracies to the Manager. Instructions and inquiries should be directed to your Financial Advisor. When making inquiries, please mention your account number. Please notify us promptly of any change of address.

Investment Objective – All clients are requested to promptly notify us of any material change in their investment objective or financial situation in order to assist us in maintaining current background and financial information.

Pricing and Rating of Securities – The pricing of securities displayed on your statement is derived from various sources and, in some cases, may be higher or lower than the price you would actually receive in the market. If we cannot obtain a price, “N/A” appears. For securities listed on an exchange or trading continually in an active marketplace, the prices reflect market quotations at the close of your statement period. For securities trading less frequently, we rely on third party pricing services or a computerized pricing model, which may not always reflect actual market values. Similarly, some insurance product values provided by outside carriers may be valued as of a date other than the statement date. Bond ratings of securities were obtained from various rating services. There is no guarantee with respect to their accuracy. For current price quotes, please contact your Financial Advisor.

Cost Basis Information – All information provided with respect to cost basis is derived from transactions in the account or information supplied by other sources. There is no guarantee as to the accuracy of cost basis information or the profit and loss information provided for tax lots designated as noncovered. Stifel uses the first in, first out method when calculating the realized gain or loss on sale transactions unless a specific identification is made prior to settlement date. The gain or loss provided on your statement is informational only and should not be used for tax reporting. A 1099 including the cost basis for sale proceeds from covered tax lots will be provided after year end for tax reporting. Please inform your Financial Advisor if a cost basis is not accurate.

Transaction Dates – All securities transactions are reflected on a trade date basis. Settlement of trades will normally occur in three business days unless stated differently on your trade confirmation. Title to securities sold to you where Stifel has acted as principal shall remain with Stifel until the entire purchase price is received or until the settlement date, whichever is later.

Custody of Securities – Securities held by Stifel, Nicolaus & Company, Incorporated for you, but which are not registered in your name, may be commingled with identical securities being held for other clients by our Correspondent, the Depository Trust Company, or in similar systems.

Assets Held Away – You may purchase certain assets through Stifel, which will be held at a custodial institution other than Stifel. Where available, we include information about these assets on your statement. The custodial institution is responsible, however, for providing year end tax reporting information (Form 1099) and separate periodic statements, which may vary from the information included on your Stifel statement because of different reporting periods. Your Stifel statements may also reflect other assets “not held” at Stifel, in addition to those held by a custodial institution. The value and nature of these investments is generally provided by you. Stifel does not guarantee the accuracy of the information with respect to the value of these investments as reflected on your statement. Assets held away are not covered by Stifel SIPC.

Estimated Annual Income and Yields – Estimated annual income and yields are calculated by annualizing the most recent distribution and do not reflect historical experience or project future results. The yield information for the money market funds is based on historical performance; future yields will fluctuate. These figures have been obtained from sources believed to be reliable, but no assurance can be made as to accuracy. Before investing in any of these funds, carefully read the prospectus, which is available through your Financial Advisor.

Payment for Order Flow – In order to access a wide variety of execution venues, the firm does participate in the maker/taker model. Certain exchanges and other trading centers to which the firm routes equities and options orders have implemented fee structures under which broker dealer participants may receive rebates on certain orders. Under these fee structures, participants are charged a fee for orders that take liquidity from the venue, and provided a rebate for orders that add liquidity to the venue. Rebates received by the firm from a venue during any time period may or may not exceed the fees paid by the firm to the venue during that time period. Fees and/or rebates from all venues are subject to change. Stifel will provide customers additional information regarding average net fees/rebates paid/received upon written request. For venues from which Stifel receives a rebate, Stifel is considered to be receiving payment for order flow.

Additional information will be provided upon written request, and certain order routing information is available online at www.stifel.com/disclosures/best execution. On request of a customer and at no fee, Stifel will disclose to such customer the identity of the venue to which such customer’s orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non directed orders, and the time of the transactions, if any, that resulted from such orders. Orders may be routed and executed internally through Stifel’s trading desk. In such instances, Stifel stands to share in 100% of remuneration received (in the case of orders executed as agent) or profits or losses generated (in the case of orders executed as principal) as a result of internalizing such orders. Customers may mail their inquiries to: Stifel Attn: Equity Trading Compliance, 787 7th Avenue, New York, New York 10019.

Tax Information – Although your statement may describe certain items as Federally tax exempt, this is for information purposes only. When reporting your taxes, please rely exclusively on the substitute Form 1099 you will receive from us after year end for your taxable accounts. (For Retirement Accounts, Form 1099R will report distributions from the account rather than income and dividends or proceeds from sales.)

SIPC Protection – Stifel is a member of the Securities Investor Protection Corporation (SIPC). SIPC coverage protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). An explanatory brochure is available upon request or at www.sipc.org, or investors may contact SIPC at (202) 371 8300. Stifel has purchased additional securities coverage of \$149,500,000 and cash coverage of \$900,000 for a total of \$150,000,000 of securities coverage and \$1,150,000 of cash coverage, subject to the terms and conditions of the policy, with an aggregate limit of \$300,000,000. (For more information, visit: www.stifel.com/disclosures/asset protection.) This coverage does not protect against market losses and does not cover securities not held by Stifel.

Margin Accounts – If you have a margin account, this is a combined statement of your margin account and special memorandum account (“SMA”) maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the (“SMA”) as required by Regulation T is available for your inspection upon request. If you have applied for margin privileges and have been approved, you may borrow money from Stifel in exchange for pledging assets in your account as collateral for any outstanding margin loan. The amount you may borrow is based on Regulation T, Stifel’s internal policies, and the value of securities in your margin account. Securities held in a margin account are identified by the word “margin” on your statement. Stifel reserves the right to limit margin purchases and short sales and to alter its margin requirements and due dates for house or other margin calls in accordance with the Firm’s guidelines, market conditions, and regulatory margin requirements.

Margin Account Interest Charges – The margin interest period includes the second to last day of the prior statement period through the third day prior to the last day of the current statement period. The margin interest charge is computed by multiplying the rate of interest by the average net daily settled debit balance and a fraction, the numerator of which is the number of days the debit balance existed, and the denominator of which is three hundred sixty (360). The rate of interest is determined by the cost of borrowing money and is subject to change without notice. The average net daily settled debit balance includes any settled credit and settled debit balances in your cash and margin accounts during the period. Please review the “Statement of Credit Terms” you have already received for further information.

STIFEL

Account Disclosures Continued

Late Charges – If transactions in your account result in a debit balance in your cash account and you do not make payment by the settlement date, you may be subject to interest charges.

Free Credit Balances – Customer Free Credit Balances may be used in this Firm's business subject to the limitations of 17CFR Section 240, 15c3 3 under The Securities Exchange Act of 1934. You have the right to receive from us in the course of normal business operations, upon demand, the delivery of: a) Any Free Credit Balances to which you are entitled, b) Any Fully Paid Securities to which you are entitled, c) Any Securities purchased on margin upon full payment of any indebtedness to us. If you participate in Stifel|Advantage or Stifel Prestige® Accounts, the payment to you of a Free Credit Balance may be subject to the cancellation of any commitment made in respect to your account for the payment of checks, ATM Card, or Point of Sale transaction charges, or other debit card transactions.

Option Accounts – 1) Commissions and other charges related to the execution of option transactions have been included on confirmations for such transactions, which have already been sent to you, and copies of confirmations are available upon request; 2) should you have any changes in your investment objective or current financial situation, you should advise your investment professional immediately; and 3) assignment notices for option contracts are allocated among client short positions pursuant to an automated procedure that randomly selects from all client short option positions those contracts that are subject to assignment, which includes positions established on the day of assignment. Additional information pertaining to the procedures used for random selection is available upon request.

Complaints – Complaints relating to your account(s) may be directed to Stifel, Legal Department, 501 North Broadway, St. Louis, Missouri 63102 or by phoning (800) 488 0970 or (314) 342 2000.

Lost Certificates – In the event your statement indicates that securities were delivered out of your account in certificate form and you have not received them, it is understood that you will notify Stifel immediately in writing. If written notification is received within 120 calendar days after the delivery date, as reflected on your statement, the certificate will be replaced free of charge. Thereafter, a fee for replacement may apply.

Dividend Reinvestment – (Optional) The dollar amount of Mutual Fund distributions, Money Market Fund income, or dividends on other securities shown on your statement may have been reinvested into additional shares. You will not receive confirmations for these reinvestment transactions. However, information pertaining to these transactions which would otherwise appear on confirmations will be furnished to you upon written request. In dividend reinvestment transactions, Stifel may act as your agent and receive payment for order flow. The source and nature of such payment will be furnished to you upon written request to Stifel or your introducing firm. If Stifel is currently a market maker in the eligible security, Stifel will purchase, as principal for you, additional shares at the opening market price.

Stifel Information – A Statement of Financial Condition of Stifel, Nicolaus & Company, Incorporated is available for your inspection at any of our offices, or a copy will be mailed to you upon request.

Investor Education and Protection – Under the Public Disclosure Program, the Financial Industry Regulatory Authority ("FINRA") provides certain information regarding the disciplinary history of FINRA members and their associated persons via FINRA Regulation's BrokerCheck Hotline (toll free (800) 289 9999). Additional information may be obtained from the FINRA Regulation Web Site at www.finra.org, and an investor brochure describing FINRA BrokerCheck is available upon request.

Stifel, Nicolaus & Company, Incorporated is registered with the U.S. Securities and Exchange Commission and the Municipal Securities Rulemaking Board ("MSRB"). Additional information may be obtained from the MSRB web site at www.msrb.org, including an investor brochure that is posted on the web site describing the protections that may be provided by the MSRB rules and how to file a complaint with an appropriate regulatory authority.

ERISA Section 408(b)(2) Notice – For Service Provider Fee Disclosures under ERISA 408(b)(2), please see www.stifel.com/disclosures/ERISA. Please direct any questions you may have to your Financial Advisor.

Notification of Change in Circumstances and Availability of Investment Advisory Disclosure Brochures –

In the event that there are any material changes in your financial situation, investment objective(s), risk tolerance, or instructions regarding your account(s), please promptly report such changes to your financial advisor to ensure that your investment advisory accounts are being managed based on the most current information. You should review Stifel's Form ADV Part 2A (Disclosure Brochure) for information and disclosures relating to Stifel's investment advisory services (available at: [www.stifel.com/disclosures/investment advisory services/program disclosures](http://www.stifel.com/disclosures/investment%20advisory%20services/program%20disclosures)), including (but not limited to) a discussion of the various conflicts of interest to which our firm may be subject in the provision of investment advisory services to you.



COUNTY OF ORANGE
OFFICE OF THE TREASURER-TAX COLLECTOR

Shari L. Freidenrich, CPA, CCMT, CPFA, ACPFIM
 P. O. BOX 4515
 SANTA ANA, CA 92702-4515



ocgov.com/ocinvestments

November 30, 2020

ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT

Attn: Richard Howard, District Manager
 13001 Garden Grove Blvd.
 Garden Grove, CA 92843

Monthly Apportionment Gross Yield: 0.899%
 Estimated Investment Administration Fee Rate: -0.059%
 Monthly Apportionment Net Yield: 0.840%

Fund Number : [REDACTED]

NOVEMBER 2020 STATEMENT

INVESTMENT BALANCE IN OCIP

Transactions

<u>Transaction Date</u>	<u>Transaction Description</u>	<u>Authorized Signer</u>	<u>Amount</u>
11/02/2020	October 2020 Investment Admin Fee		\$ (86.51)
11/25/2020	August 2020 Interest Paid		\$ 1,673.72

Summary

Total Deposit:	\$ 1,673.72	Beginning Balance:	\$ 1,723,282.68
Total Withdrawal:	\$ (86.51)	Ending Balance:	\$ 1,724,869.89

ACCRUED INVESTMENT INCOME

<u>Description</u>	<u>Amount</u>
September 2020 Interest Accrued	\$ 1,502.41
October 2020 Interest Accrued	\$ 1,401.44
Total	<u>\$ 2,903.85</u>
November 2020 Interest to be accrued in December 2020	\$ 1,277.04



Local Agency Investment Fund
P.O. Box 942809
Sacramento, CA 94209-0001
(916) 653-3001

December 01, 2020

[LAIF Home](#)
[PMIA Average Monthly Yields](#)

ORANGE COUNTY VECTOR CONTROL DISTRICT

DIRECTOR OF ADMINISTRATIVE SERVICES
13001 GARDEN GROVE BLVD
GARDEN GROVE, CA 92843

[Tran Type Definitions](#)

Account Number: [REDACTED]

November 2020 Statement

Account Summary

Total Deposit:	0.00	Beginning Balance:	6,356,854.49
Total Withdrawal:	0.00	Ending Balance:	6,356,854.49



ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT

January 21, 2021

AGENDA REPORT

AGENDA ITEM: E.4

Prepared By: Tawnia Pett, Executive Assistant/Clerk of the Board
Submitted By: Rick Howard, District Manager

Agenda Title:

Adopt Resolution No. 506 Authorizing the Deployment of Vector Personnel and Resources for Natural Disaster Relief Works

Recommended Action:

Adopt Resolution No. 506 Authorizing the Deployment of Vector Personnel and Resources for Natural Disaster Relief Works

Executive Summary:

The Board of Trustees passed Resolutions No. 291 on September 15, 2005, and No. 453 on September 21, 2017, authorizing relief efforts for Hurricane Katrina and Hurricane Harvey, respectively. When Resolution No. 453 was passed, Trustees asked that a future resolution be brought to the board to allow for mosquito and vector control assistance to agencies that are affected by any natural disaster falling within in the year. That resolution for 2018 was Resolution No. 460. In 2019, it was Resolution No. 472. In 2020, it was Resolution No. 87. This is that resolution for 2021. The Health and Safety Code Section 2041, Subsection (d) provides the District with the authority to donate surplus personal property to any public agency; Section 2044 provides that the District may cooperate with any public agency or federal agency to carry out the purposes and intent of the vector law; and Section 2045 provides that the District may agree to provide services within other public agency boundaries and federal agency boundaries.

In case the need arises, the District would like the authority to assist in the relief effort in any area that needed assistance. The District Manager would like to receive Board approval to support relief efforts. The District Manager would report back to the Board on the nature of the District's support of the relief effort at a future Board meeting. It is understood that any support by the District should not interfere with the District's basic mission and must be eligible for reimbursement by FEMA.

Fiscal Impact:

Amount Requested \$ N/A

Sufficient Budgeted Funds Available:

Category: Pers. Optg. Cap. -or- CIP# Fund#

Previous Relevant Board Actions for This Item:

Resolution No. 291 Authorizing the Deployment of Vector Personnel and Resources for Hurricane Katrina Relief Efforts
Resolution No. 453 Authorizing the Deployment of Vector Personnel and Resources for Hurricane Harvey, Irma, and Maria Relief Efforts

Resolution No. 460 Authorizing the Deployment of Vector Personnel and Resources for Natural Disaster Relief Efforts

Resolution No. 472 Authorizing the Deployment of Vector Personnel and Resources for Natural Disaster Relief Efforts

Resolution No. 487 Authorizing the Deployment of Vector Personnel and Resources for Natural Disaster Relief Efforts

Exhibits:

Exhibit A: Resolution No. 506 Authorizing the Deployment of Vector Personnel and Resources for Natural Disaster Relief Efforts

RESOLUTION NO. 506

**A RESOLUTION OF THE BOARD OF TRUSTEES OF THE
ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT**

**AUTHORIZING THE DEPLOYMENT OF VECTOR PERSONNEL AND RESOURCES FOR
NATURAL DISASTER RELIEF EFFORTS**

WHEREAS, Natural disasters can inflict a terrible toll of death, human misery, and property damage on the states and regions affected; and

WHEREAS, there is a substantial probability that persons in those areas will now be subjected to vector-borne diseases and discomforts; and

WHEREAS, the Board of Trustees does hereby desire to authorize the District Manager to send manpower and resources to the area to combat such conditions and to learn from the experience in case Orange County is subjected to a similar natural disaster; and

WHEREAS, the Health and Safety Code Section 2041, subsection (d) provides the District with the authority to donate surplus personal property to any public agency; and

WHEREAS, Health and Safety Code Section 2044 provides that the District may cooperate with any public agency or federal agency to carry out the purposes and intent of the vector law and may jointly operate programs with those agencies to carry out those purposes; and

WHEREAS, the sending of such personnel and resources would serve a public purpose;

NOW, THEREFORE, the Board of Trustees of the Orange County Mosquito and Vector Control District does hereby RESOLVE as follows:

1. That the above recitals are true and correct, and authorizes the District Manager to send District personnel and resources to the disaster area consistent therewith.
2. That the District Manager's actions are to be reported to the Board and formally ratified by the Board at a future Board meeting.

PASSED, APPROVED, and ADOPTED by the Board of Trustees of the Orange County Mosquito and Vector Control District at its regular meeting thereof held on the 21st day of January 2021, at 13001 Garden Grove Blvd., Garden Grove, California, 92843.

, President

I hereby certify that the foregoing Resolution was duly adopted by the Board of Trustees of the Orange County Mosquito and Vector Control District at a regularly scheduled meeting, held on January 21, 2021:

, Secretary

APPROVED AS TO FORM:

Alan R. Burns, District Counsel



ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT

January 21, 2021

AGENDA REPORT

AGENDA ITEM F.1

Prepared By: Rick Howard, District Manager

Submitted By: Rick Howard, District Manager

Agenda Title:

Adopt Resolution No. 507 Amending the District's District Owned Vehicle and Equipment Replacement Policy

Recommended Action:

The Board Adopts Resolution No. 507 Amending the District's District Owned Vehicle and Equipment Replacement Policy.

Executive Summary:

The District maintains a fleet of vehicles and equipment to support the functional operations of the District, including vehicles, trucks and equipment.

District Policy No. 04 was adopted in the 1970's, and amended three subsequent times, most recently in August 2007. A copy of the 2007 amended policy is attached (**Exhibit A**).

The District Owned Vehicle and Equipment Replacement Policy, as recommended, outlines the manner and means when it becomes necessary to replace vehicles and equipment.

The primary component of the updated Policy No. 04 stipulates when vehicles and equipment are eligible for replacement - at 100,000 miles, or ten (10) years of in-service date, and consistent with the Districts Purchasing Policy contained within the District's Operations Code, Title 4, Chapter 4.01 (Purchasing Policy).

A copy of the proposed amended policy is attached (**Exhibit B**).

This action complies with the District's Strategic Plan, PRIORITY AREA 5: Comprehensive Policy, Procedure, and Plan Review and Updates (W); GOAL 5.1: Identify, review, and update (as needed) all Board of Trustees Policies.

Fiscal Impact:

Amount Requested \$ N/A

Sufficient Budgeted Funds Available:

Category: Pers. Optg. Cap. -or- CIP# Fund#

Previous Relevant Board Actions for This Item:

August 16, 2007 – Amended Policy No. 04

Exhibits:

Exhibit A: August 16, 2007 – Amended Policy No. 04

Exhibit B: Proposed Amended District Owned Vehicle and Equipment Replacement Policy No. 04

Exhibit C: Resolution No. 507

Orange County Vector Control District

POLICY ON VEHICLE REPLACEMENT

Policy No. 4

Amended August 16, 2007

It is the District Policy that vehicles be replaced at 100,000 miles or ten (10) years or at the recommendation of the District Manager. District vehicles will be reviewed annually, and prior to the preparation of the District's annual budget. The District Manager shall submit a written list of vehicles scheduled to be replaced and the reason for their replacement.

It is the policy of the Board of Trustees to minimize the District's fleet emissions and impact on the environment. Vehicles shall be replaced with either a gasoline or low emission vehicle. The following two stipulations must apply if vehicles are replaced with low emission alternatives; 1) the replacement must make economic sense for the District; and 2) the low emission replacement must be a comparable model to the gasoline vehicle of which it will replace. As advances in technology progress in the field of low emission vehicles, staff will provide updates to the Board of Trustees.



Orange County Mosquito and Vector Control District

DISTRICT OWNED VEHICLE AND EQUIPMENT REPLACEMENT POLICY

Policy No.	Established:	Date Amended:	Resolution No.
04	1970's (Date undetermined)	Sept. 15, 1994 Nov. 18, 1999 August 16, 2007 January 21, 2021	507

SECTION I. Purpose

The Orange County Mosquito and Vector Control District (District) District Owned Vehicle and Equipment and Replacement Policy is intended to provide procedures and processes regarding the determination of the purchase and disposition of vehicles and equipment.

SECTION II. Scope

This policy applies to the District's Board of Trustees District Manager, Operations Department, and Finance Department.

SECTION III. Background

The District maintains a fleet of vehicles and equipment to support the functional operations of the District, including vehicles, trucks, and equipment.

Annually, the District reviews the vehicle and equipment inventory as a part of the District's annual budgetary process. Vehicles and equipment are evaluated based upon the following metrics: age, miles, cost of repairs, outdated technologies, and current operational status.

SECTION IV. Policy

- A. It is the Policy of the Orange County Mosquito and Vector Control District that vehicles be eligible for replacement at 100,000 miles, or ten (10) years of in-service date, and consistent with the District's Purchasing Policy contained within the District's Operations Code, Title 4, Chapter 4.01 (Purchasing Policy).

- B. District equipment that is no longer functional, technologically supported, out of service, or have high operating costs shall be eligible for Disposition pursuant to the District's Purchasing Policy.
- C. Vehicles that are subject to replacement pursuant to Section A, above, shall be placed out of service and disposed of pursuant to the District's Purchasing Policy.
- D. When possible and appropriate, it is encouraged that the District purchase low or no emission vehicles in order to minimize environmental impacts.

SECTION VI. Exceptions

Vehicles and equipment that have reached their fully depreciated value are preferred for replacement and disposition over vehicles that have not been fully depreciated. High operating costs, high maintenance costs, or condition of vehicle or equipment, may deem replacement necessary and may accelerate their replacement if it is deemed appropriate to do so prior to the vehicle or equipment being fully depreciated.

RESOLUTION NO. 507

**A RESOLUTION BY THE BOARD OF TRUSTEES OF THE
ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT**

**AMENDING THE DISTRICT'S DISTRICT OWNED VEHICLE AND EQUIPMENT
REPLACEMENT POLICY NO. 04**

WHEREAS, the Board of Trustees adopted District Policy No. 04 was in the 1970's, and amended three subsequent times, most recently in August 2007; and

WHEREAS, District staff reviewed Policy No. 04 and determined it appropriate to amend Policy No. 04; and

WHEREAS, the Board of Trustees does determine to amend District's District Owned Vehicle and Equipment and Replacement Policy.

NOW, THEREFORE, the Board of Trustees does hereby RESOLVE and DETERMINE that:

Section 1. The District's existing District Owned Vehicle and Equipment and Replacement Policy is repealed.

Section 2. That the District's District Owned Vehicle and Equipment and Replacement Policy is hereby adopted and incorporated as a part of this Resolution. This policy shall be made part of the District's policies.

PASSED, APPROVED, and ADOPTED by the Board of Trustees of the Orange County Mosquito and Vector Control District at its regular meeting thereof held on the 21st day of January 2021, at 13001 Garden Grove Blvd., Garden Grove, California, 92843.

, President

I hereby certify that the foregoing Resolution was duly adopted by the Board of Trustees of the Orange County Mosquito and Vector Control District at a regularly scheduled meeting, held on January 21, 2021:

, Secretary

APPROVED AS TO FORM:

Alan R. Burns, District Counsel



ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT

January 21, 2021

AGENDA REPORT

AGENDA ITEM G.3

Prepared By: Tawnia Pett, Executive Assistant/Clerk of the Board
Submitted By: Rick Howard, District Manager

Agenda Title:

Trustee Terms of Office to Expire on January 4, 2021 at 11:59 A.M.

Recommended Action:

Receive and file.

Executive Summary:

The following Trustees' terms of office will expire on January 4, 2021 at 11:59 A.M.

Bolded City/County has appointed a representative:

Trustee Representative	Governing Body	Date of Communication
Richard Hurt	Aliso Viejo	December 9, 2020 2 years
Susan Sonne	Buena Park	January 12, 2021 2 years
Sandra Genis	Costa Mesa	January 19, 2021
Richard Viczorek	Dana Point	January 19, 2021
Jennifer Fitzgerald	Fullerton *Completing 1 yr remaining on term	January 19, 2021
Stephanie Klopfenstein	Garden Grove	December 8, 2020 4 years
Michael Morris	Laguna Beach	February 9, 2021
John Mark Jennings	Laguna Niguel	January 19, 2021
Shari Horne	Laguna Woods	December 16, 2020 2 years
Joy Brenner	Newport Beach	January 12, 2021 4 years
Craig Green	Placentia	January 12, 2021 2 years
April Josephson	Rancho Santa Margarita	December 9, 2020 2 years
John Taylor	San Juan Capistrano	January 19, 2021
Sandra Massa-Lavitt	Seal Beach	January 11, 2021 2 years
Gary Taylor	Stanton	January 12, 2021 2 years
Rebecca Gomez	Tustin *Completing 3 yrs remaining on term	December 18, 2020
Chi Charlie Nguyen	Westminster	January 13, 2021 2 years
Peggy Huang	Yorba Linda	December 15, 2020 2 years
Lala Ragen	County of Orange	February 2021

Previous Relevant Board Actions for This Item:



ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT

January 21, 2021

AGENDA REPORT

AGENDA ITEM: G.4

Prepared By: Tawnia Pett, Executive Assistant/Clerk of the Board
Submitted By: Rick Howard, District Manager

Agenda Title:

Board of Trustee Committee Assignments for 2021

Recommended Action:

As necessary

Executive Summary:

Each year, at the installation of new Board officers, the Board Committees are reconstituted. Enclosed with the Agenda is a questionnaire and committee function sheet. Please select your first, second, and third choice for committees on which you would like to serve and complete the Trustee information.

Please complete the questionnaire and return to Tawnia Pett no later than January 29, 2021. New committees will be approved at the February board meeting and will commence in March.

Fiscal Impact:

Amount Requested \$ N/A

Sufficient Budgeted Funds Available:

Category: Pers. Optg. Cap. -or- CIP# Fund#

Previous Relevant Board Actions for This Item: N/A

Exhibits:

Exhibit A: Committee Questionnaire

Exhibit B: Committee Functions List

Committee assignments will be made at the February meeting and commence after approval at the February meeting. Please indicate your first, second, and third choice on the following list of committees. (See attached list for the Committee Functions.)

Please return questionnaire to Tawnia Pett by January 29, 2021.

COMMITTEES

CHOICES

Budget & Finance

1st 2nd 3rd

Building, Property & Equipment

1st 2nd 3rd

Executive

(Board Officers & Past-President)

Nuisance Abatement

1st 2nd 3rd

Operations

1st 2nd 3rd

Policy and Personnel

1st 2nd 3rd

Public Relations

1st 2nd 3rd

Legislative

1st 2nd 3rd

Signed: _____

Trustee Name (Print): _____

City: _____

Contact Phone: _____

E-mail Address: _____

Orange County Mosquito and Vector Control District BOARD OF TRUSTEES COMMITTEE FUNCTIONS

BUDGET AND FINANCE COMMITTEE - 9 MEMBERS

Staff: District Manager Finance Manger

Convenes: As necessary. Meets in spring to deliver Budget recommendation to Board in April.

Scope: The Budget and Finance Committee is responsible for oversight of District financial activities and policies. The Committee, also responsible for monitoring the District's budgets, meets annually on an as needed basis to review the proposed budgets for the upcoming year. The Committee provides comments and direction regarding appropriations and funding levels for these budgets. The Committee also reviews District financial policies and practices such as reserve amounts and fund transfers to insure public review of all financial activities and practices.

BUILDING, PROPERTY, AND EQUIPMENT COMMITTEE - 7 MEMBERS

Staff: District Manager Department Heads

Convenes: As necessary

Scope: The Building, Property and Equipment Committee is responsible for oversight of District building, property, and equipment issues. The Committee meets on an as needed basis to review and provide direction regarding short-term and long-term property and building planning; provides recommendations to the Board regarding acquisition, improvements, and disposal of District property. The Committee also reviews equipment and vehicle purchases and/or bids, and provides recommendations to the Board regarding selection and approval of these items.

EXECUTIVE COMMITTEE - 4 MEMBERS (Board Officers, Past-President)

Staff: District Manager Legal Counsel

Convenes: As necessary

Scope: The Executive Committee is comprised of the President, Vice President, Secretary, and Past-President of the District. The Executive Committee meets with the other Committees and District Manager on important financial and operational issues.

OPERATIONS COMMITTEE - 7 MEMBERS

Staff: District Manager Director of Communications
Director of Operations Director of Scientific Technical Services

Convenes: As necessary

Scope: The Operations Committee is responsible for oversight of the District's basic operation and special programs. The Committee reviews operational activity reports and provides direction to staff regarding operational issues, policies and procedures, staffing, and budget. The Committee also provides recommendations to the Board on legislative items.

POLICY AND PERSONNEL COMMITTEE - 9 MEMBERS

Staff: District Manager HR Manager

Convenes: As necessary

Scope: The Policy and Personnel Committee is responsible for oversight of District policies and personnel issues. This Committee meets on an as needed basis to review changes to administrative, policies and procedures, and then provides recommendations to the Board regarding action on these items. The Policy and Personnel Committee annually reviews and provides recommendations regarding the District Manager's contract, and changes to the District's Personnel and Salary Resolution. The Committee also reviews and provides recommendations to the Board regarding new position requests, position reclassifications, position descriptions, and is responsible for hearing and making decisions regarding employee disciplinary appeals.

PUBLIC RELATIONS COMMITTEE – 9 MEMBERS

Staff: District Manager Director of Communications

Convenes: As necessary

Scope: The Public Relations Committee is responsible for oversight of the District public outreach, education, vector and vector-borne disease education, and overall awareness of the District, and its services. The Committee provides comments and directions on education objectives, and public outreach campaigns and goals. The Committee also reviews request for proposals for outreach related purchases and contracts.

NUISANCE ABATEMENT COMMITTEE – 7 MEMBERS

Staff: District Manager Director of Operations

Convenes: As necessary

Scope: The Nuisance Abatement Committee has the responsibility to determine if certain properties constitute a nuisance because of vector breeding and authorize certain fines and penalties to be assessed against the property owner(s).

LEGISLATIVE COMMITTEE – 9 MEMBERS

Staff: District Manager Director of Communications

Convenes: As Necessary

Scope: The Legislative Committee has the responsibility of reviewing, following, and tracking legislation throughout the course of the legislative session that may impact the District.



ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT

January 21, 2021

AGENDA REPORT

AGENDA ITEM: G.5

Prepared By: Tawnia Pett, Executive Assistant/Clerk of the Board
Submitted By: Rick Howard, District Manager

Agenda Title:

2020 Trustee Attendance Report

Recommended Action:

Receive and file

Executive Summary:

Each year, at the January board meeting, Trustees are given a record of Trustee attendance through December of the previous year.

Fiscal Impact:

Amount Requested \$ N/A

Sufficient Budgeted Funds Available:

Category: Pers. Optg. Cap. -or- CIP# Fund#

Previous Relevant Board Actions for This Item: N/A

Exhibits:

Exhibit A: 2020 Board of Trustees Attendance Report

Orange County Mosquito and Vector Control District
 RECORD OF ATTENDANCE OF THE 2020 BOARD OF TRUSTEES
 From the First Meeting Held on August 11, 1947 through the 886th Meeting Held December 19, 2020

Represents	Full Name	First Appointed	Years of Service	2020 Meetings		Total Meetings		Total Meetings		% of Attendance
				Attended		Held		Attended	Total	
Aliso Viejo	Ross Chun	January	2019	1 yr.	11 mo.	8	80%	21	13	62%
Anaheim	Lucille Kring	January	2008	12 yr.	11 mo.	10	100%	153	140	92%
Brea	Cecilia Hupp	September	2015	5 yr.	3 mo.	9	90%	61	48	79%
Buena Park	Michael Davis	January	2013	9 yr.	7 mo.	10	100%	113	100	88%
Costa Mesa	Sandra Genis	August	2015	5 yr.	4 mo.	9	90%	62	52	84%
Cypress	Jon Peat	January	2020	0 yr.	12 mo.	10	100%	10	10	100%
Dana Point	Richard Viczorek	January	2017	3 yr.	11 mo.	10	100%	45	42	93%
Fountain Valley	Cheryl Brothers	April	2005	15 yr.	8 mo.	10	100%	186	172	92%
Fullerton	Jennifer Fitzgerald	February	2017	3 yr.	10 mo.	10	100%	44	34	77%
Garden Grove	Stephanie Klopfenstein	January	2017	3 yr.	11 mo.	9	90%	45	35	78%
Huntington Beach	Mike Posey	January	2017	3 yr.	11 mo.	9	90%	45	34	76%
Irvine	Farrah Khan	January	2019	1 yr.	11 mo.	10	100%	21	18	86%
Laguna Beach	Michael Morris	February	2019	1 yr.	10 mo.	9	90%	20	19	95%
Laguna Hills	Erica Pezold	January	2020	0 yr.	12 mo.	8	80%	10	8	80%
Laguna Niguel	John Mark Jennings	January	2017	3 yr.	11 mo.	8	80%	45	32	71%
Laguna Woods	Shari L. Horne	July	2014	6 yr.	5 mo.	9	90%	75	68	91%
La Habra	James Gomez	January	2005	15 yr.	11 mo.	10	100%	189	165	87%
Lake Forest	Vladimir Anderson	January	2020	0 yr.	12 mo.	9	90%	10	9	90%
La Palma	Nitish Patel	January	2020	0 yr.	12 mo.	5	50%	10	5	50%
Los Alamitos	Tanya Doby	February	2020	0 yr.	11 mo.	9	100%	9	9	100%
Mission Viejo	Robert Ruesch	January	2018	2 yr.	11 mo.	10	100%	33	33	100%
Newport Beach	Jeffrey Herdman	January	2019	1 yr.	11 mo.	7	70%	21	16	76%
Orange	Michael Alvarez	January	1997	11 yr.	11 mo.	10	100%	141	118	84%
Placentia	Craig Green	January	2017	3 yr.	11 mo.	8	100%	43	40	93%
Rancho Santa Margarita	April Josephson	December	2014	6 yr.	0 mo.	9	90%	70	67	96%
San Clemente	Jim Dahl	January	2020	7 yr.	0 mo.	10	100%	82	78	95%
San Juan Capistrano	John Taylor	January	2019	3 yr.	11 mo.	10	100%	45	40	89%
Santa Ana	Cecilia Aguinaga	February	2017	9 yr.	10 mo.	10	100%	104	94	90%
Seal Beach	Sandra Massa-Lavitt	January	2017	3 yr.	11 mo.	9	90%	45	42	93%
Stanton	Gary Taylor	June	2019	1 yr.	6 mo.	10	100%	16	14	88%
Tustin	Barry Cooper	January	2019	1 yr.	11 mo.	4	40%	21	11	52%
Villa Park	Crystal Miles	January	2020	0 yr.	12 mo.	10	100%	10	10	100%
Westminster	Sergio Contreras	January	2013	7 yr.	11 mo.	8	80%	93	75	81%
Yorba Linda	Peggy Huang	January	2015	5 yr.	11 mo.	10	100%	69	56	81%
County of Orange	Lala Ragen	January	2019	1 yr.	11 mo.	10	100%	21	21	100%

Vector of the Month

The Roof Rat, *Rattus rattus*

The roof rat (*Rattus rattus*) is the leading cause of rodent problems in Orange County. The Orange County Mosquito and Vector Control District receives several thousand roof rat service requests from county residents each year.

The roof rat is not native to North America, but was introduced into the western hemisphere by colonists from Asia and Europe (Old World). The Norway rat (*Rattus norvegicus*) and the house mouse (*Mus musculus*) were also transported into North America from the Old World. With its introduction into North America at major sea ports on the east and west coasts, the roof rat quickly adapted to local conditions and spread unchecked across the continent. The roof rat is now found in all major cities in the United States where it has become a significant nuisance and public health threat.

Historically, the roof rat was distinguished by its habits and association with human activity. This close association with humans represents the attribute of a “commensal” species that derives benefit by exploiting the actions of other species.

A typical roof rat reaches a total length of 15 to 18 inches, including the tail, at maturity. Most individuals have large eyes, prominent ears, a scaly tail that is one third longer than the body. Coloration of the fur among individual rats is highly variable with some appearing light brown, others dark brown, and a few nearly jet black. The color of the “belly” fur ranges from white to dark gray or charcoal. Roof rats rarely survive beyond 1 to 2 years of age under favorable conditions. The reproductive potential of this species is phenomenal. Females bear an average of six litters per year with each litter containing from 6 - 8 young demonstrating why this species is capable of rapidly infesting and overwhelming urban neighborhoods.

Roof rats move about urban neighborhoods by using overhead utility lines, interconnecting walls and fences, alley ways, and occasionally



underground drains. Their movements are related to foraging and maintaining territories. Roof rats will consume almost all types of food, including backyard fruits and vegetables, seeds and nuts, dog and cat food, and garden snails. Their foraging habits frequently cause structural damage and loss of personal property. It is not uncommon for the wiring of the family car to be gnawed, stripped, or severed.

Roof rats carry a variety of disease agents that affect humans. Outbreaks of bubonic plague during the “Dark Ages” were attributed to poor sanitation, abundant populations of “urban” rats, and heavy flea infestations on both roof and Norway rats. Today, plague does not pose a significant health risk to the residents of large metropolitan areas. The disease is largely restricted to rural settings where domestic cats, infected by wild rodents (e.g., ground squirrels), are becoming increasingly involved with pneumonic transmission to humans. Orange County residents are fortunate because existing ecological conditions apparently do not support a consistent and detectable level of plague activity. This is perplexing as most communities in suburban habitats support sizable populations of rats, cats, ground squirrels, and fleas. Although infrequent, evidence of bubonic plague, Salmonella bacteria and Seoul (hantavirus) virus has been found in roof rats by the OCMVCD laboratory.

How to Manage Rats

From: University of California Pest Notes <http://ipm.ucanr.edu/PMG/PESTNOTES/pn74106.html>

Rats are some of the most troublesome and damaging rodents in the United States. They eat and contaminate food, damage structures and property, and transmit parasites and diseases to other animals and humans. While rats are much larger than the common house mouse or meadow vole, a young rat is occasionally confused with a mouse. In general, very young rats have large heads and feet in proportion to their bodies, whereas those of adult mice are proportionately much smaller. While both rats and mice gnaw on wood, rats leave much larger tooth marks than mice do. Experience has shown it is less time consuming to control rodents before their numbers get too high, and fewer traps and less bait will be required if control is started early. Inspect your yard and home thoroughly.

Sanitation is fundamental to rat control and must be continuous. If sanitation measures aren't properly maintained, the benefits of other measures will be lost and rats will quickly return. Good housekeeping in and around buildings will reduce available shelter and food sources for rats. Neat, off-the-ground storage of pipes, lumber, firewood, crates, boxes, gardening equipment, and other household goods will help reduce the suitability of the area for rats and also will make their detection easier. Collect garbage, trash, and garden debris frequently, and ensure all garbage receptacles have tight-fitting covers. Where dogs are kept and fed outdoors, rats can become a problem if there is a ready supply of dog food. Feed your pet only the amount of food it will eat at a feeding, and store pet food in rodent-proof containers.

For roof rats in particular, thinning dense vegetation will make the habitat less desirable. Climbing hedges such as Algerian or English ivy, star jasmine, and honeysuckle on fences or buildings are conducive to roof rat infestations and should be thinned or removed if possible, as should overhanging tree limbs within 3 feet of the roof. Separate the canopy of densely growing plants from buildings by a distance of 2 feet or more to make it more difficult for rats to move between them.

Building Construction and Rodent Proofing

The most successful and long-lasting form of rat control in structures is exclusion, or "building them out." Seal cracks and openings in building foundations and any openings for water pipes, electric wires, sewer pipes, drain spouts, and vents. No hole larger than 1/4 inch should be left unsealed, in order to exclude both rats and house mice. Make sure doors, windows, and screens fit tightly. Their edges can be covered with sheet metal if gnawing is a problem. Coarse steel wool, wire screen, and lightweight sheet metal are excellent materials for plugging gaps and holes. Rats are likely to gnaw away plastic sheeting, wood, caulking, and other less sturdy materials.

Rodent proofing against roof rats, because of their great climbing ability, usually requires time to find entry points. Roof rats often enter buildings at the roofline, so be sure that all access points in the roof are sealed. When food, water, and shelter are available, rat populations can increase quickly. While the most permanent form of control is to limit food, water, shelter, and access to buildings, direct population control often is necessary.

Trapping is the safest and most effective method for controlling rats in and around homes, garages, and other structures. Because snap traps can be used over and over, trapping is less costly than poison baits but more labor intensive. Traps can be set and left indefinitely in areas such as attics where rats have been a problem in the past. The simple, wooden rat-size snap trap is the least expensive option, but some people prefer the newer plastic, single-kill rat traps, because they are easier to set and to clean. Snap traps with large plastic treadles are especially effective, but finding the best locations to set traps is often more important than what type of trap is used. Generally, young rats can't be trapped until they are about a month old, which is when they leave the nest to venture out for food.

Nutmeats, dried fruit, bacon, or a piece of kibbled pet food can all be attractive baits for traps. Fasten the bait securely to the trigger of the trap with light string, thread, or fine wire so the rodent will spring the trap when attempting to remove the food. Even glue can be used to secure the bait to the trigger. Soft baits such as peanut butter and cheese can be used, but rats sometimes take soft baits without setting off the trap. Set traps so the trigger is sensitive and will spring easily.

The best places to set traps are in secluded areas where rats are likely to travel and seek shelter. Droppings, gnawings, and damage indicate the presence of rodents, and areas where such evidence is found usually are the best places to set traps, especially when these areas are located between their shelter and food sources. Place traps in natural travel ways, such as along walls, so the rodents will pass directly over the trigger of the trap. For roof rats, the best places for traps are off the ground in locations where rats might be coming down from their nests to find food—such as on ledges, shelves, branches, fences, pipes, or overhead beams—where they can be fastened with screws or wire. In homes, the attic and garage rafters close to the infestation are good trapping sites. In areas where children, pets, or birds might contact traps, place the trap in a box or use a barrier to keep them away.

Use as many traps as are practical so trapping time will be short and decisive. A dozen or more traps for a heavily infested home might be necessary. Place rat traps about 10 to 20 feet apart. If a rat sets off a trap without getting caught, it will be very difficult to catch the rat with a trap again. To reduce the likelihood of “trap shyness,” one strategy is to leave traps baited but unset until the bait has been taken overnight. To avoid using too few traps, if bait is taken from all traps, double the number of baited traps exposed, and keep doing so until some traps remain with bait untaken; then bait and set all traps.



Adult roof rat (Photo by Jack Kelly Clark)

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